

## PROGRESS IN THE KINETICS OF CHEMICAL REACTIONS IN NON-EQUILIBRIUM PLASMAS

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**Abstract** - The dissociation kinetics of diatomic species in non-equilibrium plasmas is presented and discussed on the basis of three mechanisms: 1) the direct electron impact mechanism (DEM); 2) the pure vibrational mechanism (PVM); the joint vibroelectronic mechanism (JVE).

Calculated dissociation rates from PVM are in satisfactory agreement with the experimental ones in  $N_2$ , while in CO the agreement with the experimental results is better achieved by the JVE rates.

The coupling between JVE and Boltzmann equation for the electron energy distribution function is then discussed for  $H_2$  and  $O_2$ . DEM plays an important role in dissociating these molecule at high E/N, by determining in the presence of recombination process a new dissociation mechanism, called a recombination assisted dissociation process.

Finally the effect of vibrational non-equilibrium in the synthesis of NO and on the dissociation of polyatomic molecules is discussed.

### INTRODUCTION

Chemical reactions carried out under non-equilibrium plasmas are generally thought as occurring through the action of the electrons, which either pump vibrational energy in the ground electronic state of the molecules or promote electronic transitions to dissociative states of the molecules. In general the first point has been overlooked by many authors although under laboratory plasmas the energy input mainly occurs through the vibrational degree of freedom. This point can be understood from fig. 1, which reports the fractional power input for  $N_2$  and CO as a function of E/N (E, electric field; N, total number density) obtained from calculated electron energy distribution functions (Ref. 1). One can note that the power input goes essentially through the vibrational excitation of the ground state, at least up to a given E/N value. This energy, under favorable conditions which will be discussed in the next pages, can be utilized in chemical reactions.

In general, the input of vibrational energy generates vibrational temperatures of the ground state higher than the translational temperature, thereby creating non-equilibrium conditions (Refs. 2,3). Figure 2 shows such a situation for  $H_2$  and  $D_2$ , while similar results can be found for  $N_2$  (Ref. 3)

The importance of the vibrational non-equilibrium conditions on chemical reactions has been reported by different authors (Refs. 4,5), who pointed out the impossibility of explaining chemical reactions (in particular dissociation) by means of a simple direct electronic impact mechanism. Figure 3 reports such conclusions for  $N_2$  (Ref. 4). The dashed line of this figure, which represents the dissociation constant from a pure electronic mechanism, can not explain the experimental data. Similar conclusions can be found for  $H_2$  (Ref. 5).

As a result of all these considerations, many authors have developed theoretical models in order to explain the importance of vibrational non-equilibrium on plasmachemistry reactions (Refs. 6,8). The aim of this paper is to review these attempts, emphasizing the connections between theory and experimental results.

### THEORETICAL MODELS

Three models have been essentially developed in these last years to explain the dissociation of diatomic molecules in electrical discharges:

- 1) the direct electron impact mechanism (DEM);
- 2) the pure vibrational mechanism (PVM);
- 3) the joint vibroelectronic mechanism (JVE).

According to DEM, the electrons of the discharge promote electronic transitions to dissociative states of diatomic molecules



with a first order dissociation constant defined as

$$n_e k_d^e(v=0) = n_e \int_0^\infty q_d(u) f_e(u) v(u) du \quad (2)$$

where  $q_d(u)$  is the dissociation cross section of process (1),  $f_e(u)$  is the electron energy distribution function,  $v(u)$  is the electron velocity and  $n_e$  is the electron density. To simplify the problem, many authors assumed a Maxwellian distribution function for  $f_e(u)$  and considered the dissociation process as occurring only from the ground vibrational level of the diatomic molecule ( $A_2(v=0)$ ).

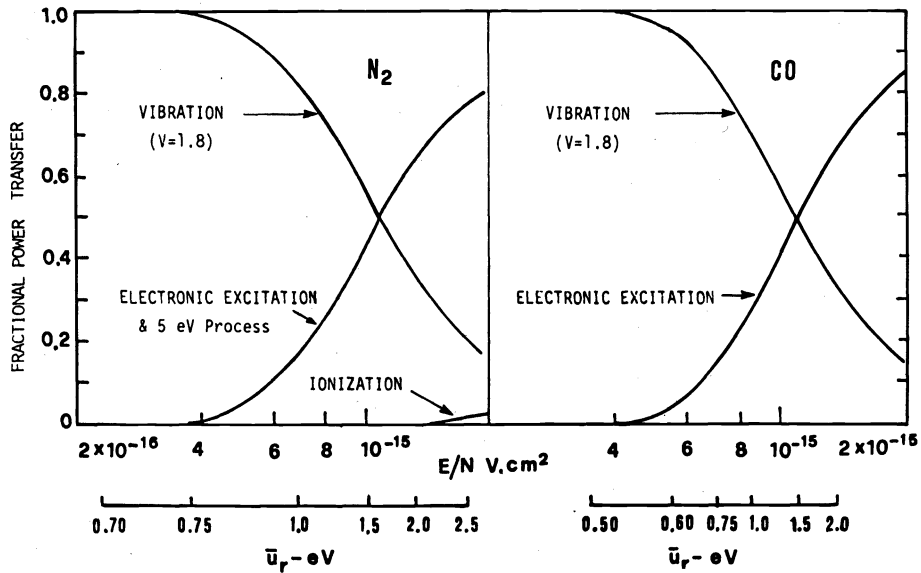


Fig. 1 Fractional power transfer as a function of  $E/N$  (and of the reduced energy  $\bar{u}_r$ ) in  $N_2$  and  $CO$  (From Ref. 1).

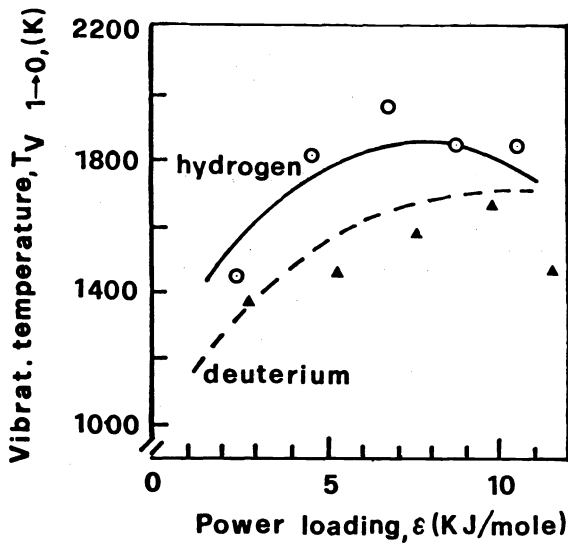


Fig. 2 Experimental vibrational temperature as a function of power loading in  $H_2$  and  $D_2$  plasmas (— calculations for  $H_2$ ; --- calculations for  $D_2$ ) (From Ref. 2).

The pure vibrational mechanism (PVM), on the contrary, considers the dissociation mechanism as a result of three steps (see ref. 6):

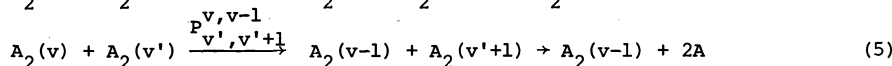
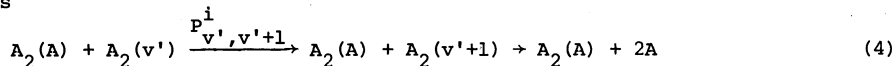
- i) the electrons introduce vibrational quanta in the diatomic molecule by means of e-V (electron-vibration) energy exchanges



- ii) vibration-vibration (V-V) energy exchanges redistribute the introduced quanta on the whole vibrational manifold;

- iii) vibration-translation (V-T) energy exchanges tend to relax the vibrational quanta over the first vibrational levels of the molecule.

As a result of various energy exchange process the vibrational quanta can reach the last vibrational level ( $v'$ ) of the diatomic molecule and then dissociate according to the following processes



The dissociation rate  $v_d$  can be written as

$$v_d = dN_{v'+1}/dt = N_v \sum_i N_i P_{i,v',v'+1}^i + N_v \sum_v N_v P_{v,v',v'+1}^{v,v-1} = k_d(t) N_v \quad (6)$$

where  $N_{v'}$  represents the number density of level  $v'$  and  $N_i$  is the number density of species  $i$  (molecules and parent atoms). To calculate  $v_d$  one needs the vibrational distribution  $N_{v'}$ , which in turn can be obtained by coupling eq.(6) with a system of  $v'$  vibrational master equations of the type

$$dN_{v'}/dt = (dN_{v'}/dt)_{e-v} + (dN_{v'}/dt)_{v-v} + (dN_{v'}/dt)_{v-t} + (dN_{v'}/dt)_{se} + (dN_{v'}/dt)_{rec} \quad (7)$$

The different terms of eq.(7) represent in the order the vibrational relaxation rate due to electron-vibration (e-V), vibration-vibration (V-V), vibration-translation (V-T), spontaneous emission (se) and recombination (rec) process. Explicit expressions can be found elsewhere (ref.6). The possibility of a successful action of PVM in dissociating diatomic species in electrical discharges is linked to a 'crossing' of favorable conditions such as 1) large e-V rates 2) large V-V rates 3) small V-T rates. Points 1,2), in fact, determine a large pumping of vibrational quanta in the molecule and a successive redistribution of the introduced quanta on the vibrational ladder, while point 3) determines the conservation of the introduced quanta.

Table 1 shows the relevant rates for different systems. One notices that  $N_2$  and CO represent the most promising molecules from the point of view of PVM. A problem arises at the onset of dissociation process, since the produced atoms are in general very effective in removing vibrational energy through V-T energy exchanges.

TABLE 1. V-V, V-T and e-V "effective" rates ( $\text{cm}^3 \text{s}^{-1}$ ) in  $N_2$ , CO and  $O_2$  systems ( $T_g = 500 \text{ }^\circ\text{K}$ ,  $\bar{u}_r \sim 1 \text{ e-V}$ )

System	$P_{1,0}^{O,1}$	$P_{1,0}^{A_2}$	$P_{1,0}^A$	$k_{O,v}^e$
$N_2$	$2.5 \cdot 10^{-13}$	$1.7 \cdot 10^{-21}$		$\sim 1.5 \cdot 10^{-8}$
CO	$2.1 \cdot 10^{-12}$	$9.2 \cdot 10^{-19}$	$1.9 \cdot 10^{-13}$	$\sim 1.5 \cdot 10^{-8}$
$O_2$	$6.3 \cdot 10^{-13}$	$3 \cdot 10^{-17}$	$5.9 \cdot 10^{-17}$	$\sim 1.2 \cdot 10^{-10}$

Finally in the joint vibroelectronic mechanism (JVE), the dissociation process occurs both through process (1) from all vibrational levels



and through the processes (4,5).

The dissociation rate can be now written as

$$v_d = v_d(\text{PVM}) + n_e \sum_{v=0}^{v'} N_v k_{d,v}^e = k_{dj}(t) N_v \quad (9)$$

where  $v_d(\text{PVM})$  represents eq. (6)

Equation (9) is coupled with a system of vibrational master equations (see eq.(7)) which add a relaxation term due to the electron dissociation process

$$(dN_v/dt)_{e-D} = n_e N_v k_{d,v}^e \quad (10)$$

To obtain accurate values of dissociation rates in all mechanisms one must carefully know the electron energy distribution function (edf) which determine the electron rate coefficients (e-V and e-D rates). This point will be analyzed in the next pages.

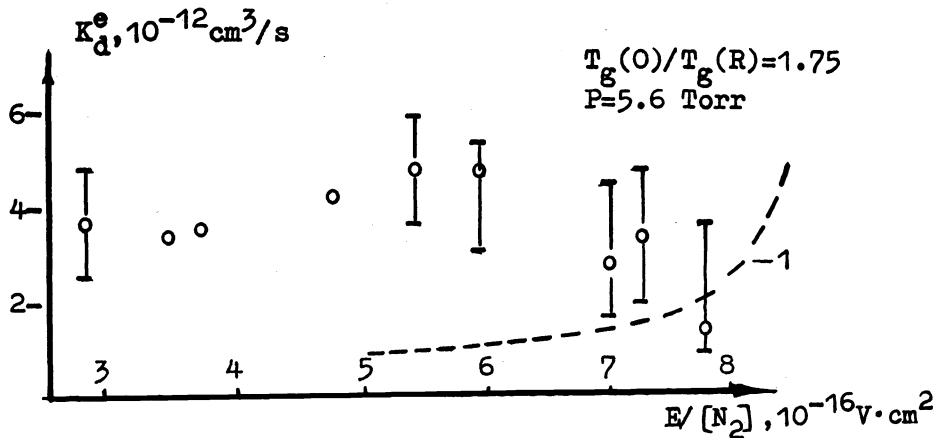


Fig. 3 Experimental dissociation constant as a function of  $E/N$  (----- calculations from DEM) (From Ref. 4).

## RESULTS

### Nitrogen

The nitrogen system presents the favorable conditions (large e-V and V-V and small V-T rates) discussed in the previous pages. As a consequence PVM should be effective in dissociating  $N_2$ . An attempt to model PVM for  $N_2$  (ref. 9) gave the results reported in fig. 4. One can appreciate that large dissociation rates are obtained in the gas temperature range  $500 \leq T_g \leq 2000$  °K. The non-equilibrium character of PVM can also be appreciated by comparing the quasistationary  $k_d^e$  values with the corresponding ones obtained under thermal conditions ( $n_e = 0$ ). In this last case, in fact,  $k_d^e$  follows an Arrhenius type law with an activation energy approximately given by the dissociation energy, while under the electrical conditions ( $n_e \neq 0$ ) the activation energy is approximately equal to zero. Figure 5 shows the corresponding  $N_v$  distributions taken from Ref. 9. One can appreciate the lack of the Boltzmann tails at  $T_g$  over the vibrational levels near to the continuum, thereby indicating that  $N_2$  is a system in which V-T deactivation can be neglected as compared with V-V pumping up. It should be however pointed out that the results reported in Figs. 4,5 disregard the presence of nitrogen atoms, which can be effective in removing vibrational energy. At the moment, however, vibrational relaxation rates of the process  $N_2(v) - N$  are practically unknown.

Concerning the role of DEM in dissociating  $N_2$ , Fig. 4 shows that this mechanism yields dissociation rates one order of magnitude smaller than the corresponding ones in PVM. Moreover it can be shown that at lower electron energies DEM practically disappears.

A comparison between experimental results (Fig. 3 from Ref. 4) and theoretical values (Ref.9) can be done by scaling the theoretical results by using the following equation

$$n_e \sum_v k_{O,v}^e = k_d^s D / E_{1,0} \quad (11)$$

where  $D$  and  $E_{1,0}$  is the dissociation energy and the energy of the first vibrational level respectively. Equation (11) has been obtained under the hypothesis that the input of vibrational quanta by means of e-V energy exchanges is balanced by the corresponding loss through the dissociation process. Equation (11) express a linear dependence of  $k_d^e$  on  $n_e$  and on the effective vibrational rate coefficients. By using this scaling law, one obtains that the theoretical values (Ref. 9) are one order of magnitude larger than the experimental values (Ref. 4). This discrepancy however may be eliminated by improving the V-V rates used in Ref. 9. Recent calculations performed by Billing (Ref. 10) have shown that the V-V rates of Ref. 9 are one order of magnitude larger than the corresponding rates obtained by using semiclassical methods. The use of the new set of V-V rates should bring an excellent agreement between theory and experiments. It has been, in fact, shown (Ref.9) that decreasing by a factor 10 the V-V rates one obtains approximately the same decrease in the dissociation constant.

Another possibility of explaining the discrepancy between theoretical values and experimental ones lies in the neglect of two quantum V-V transitions (Ref. 9). Their inclusion seem to decrease by one order of magnitude the dissociation rate of  $N_2$ , as shown recently by Oraeskii et al (see Fig. 6 taken from Ref. 11).

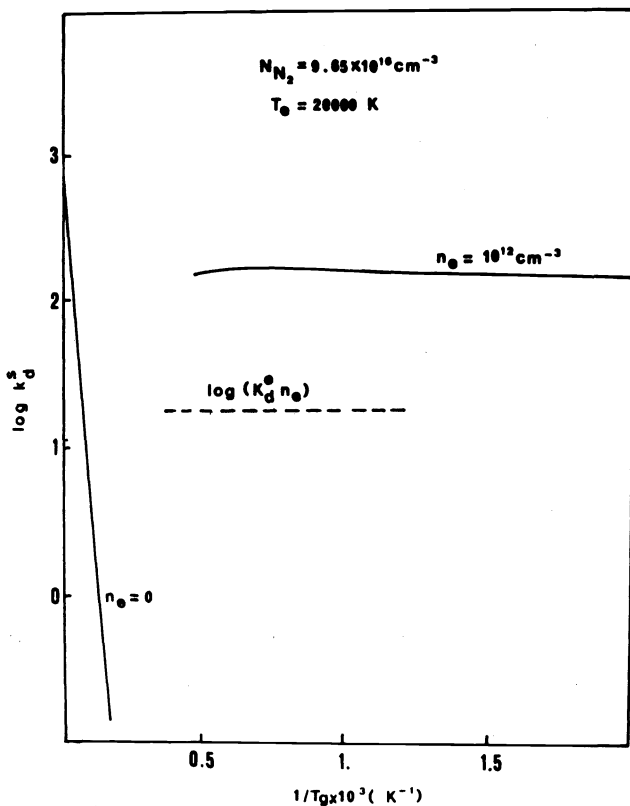


Fig. 4 Quasistationary dissociation constant in  $N_2$  as a function of  $1/T_g$  (— calculated values from PVM; ---- calculated values from DEM) (From Ref. 9).

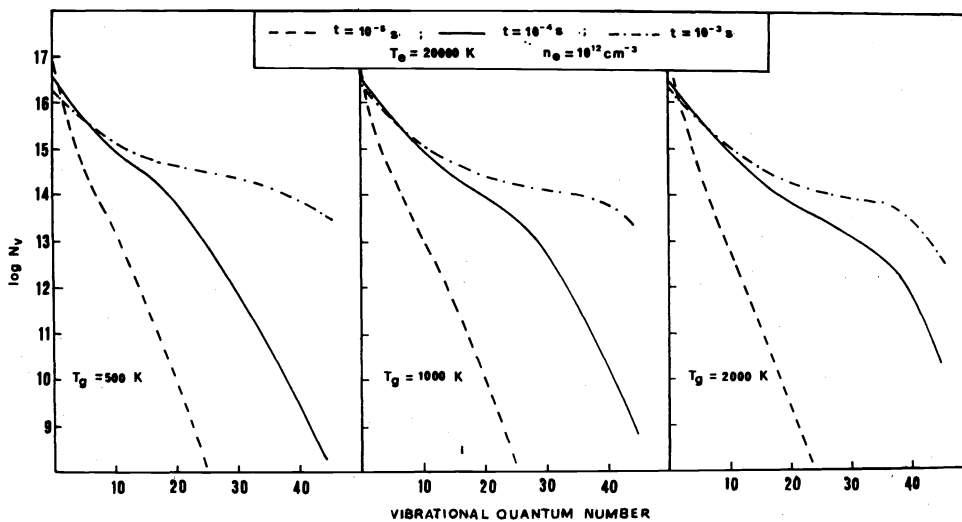


Fig. 5 Vibrational  $N_v$  distributions as a function of vibrational number at different times calculated in PVM (From Ref. 9).

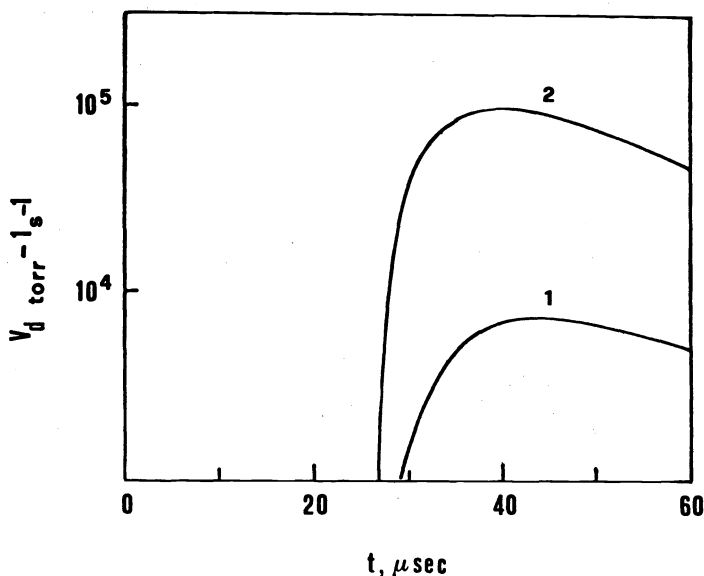


Fig. 6 Dissociation rate as a function of time in  $N_2$  (curve 1: calculations taking into account two quantum V-V energy exchanges; curve 2: without two quantum V-V energy exchanges) (pump pulse energy 0.8 J/cm<sup>3</sup>;  $T_g = 100$  °K) (From Ref. 11).

#### Carbon Monoxide

Carbon monoxide will be illustrated as the second example which presents the 'crossing' of favorable conditions for dissociating according to PVM. This molecule in fact presents large e-V and V-V and small V-T rates. On the contrary the CO(v)-O V-T rates are large, thereby indicating a strong destruction of vibrational quanta at the onset of the dissociation process. Figure 7 reports the dissociation constant and the degree of dissociation of CO calculated according to PVM (Ref. 12) as a function of time, while Fig. 8 reports the  $N_v$  distributions at different times. The method of calculation (Ref. 12) differs from that utilized for  $N_2$  (Ref. 9), since in the CO case the influence of oxygen atoms on the  $N_v$  distributions and on the dissociation constant has been taken into account. Moreover the gas temperature in the CO case was allowed to change during the temporal evolution of  $N_v$  distributions. The results of Figs. 7-8 are very similar to those presented for nitrogen. The dissociation constant evolves toward a quasistationary value and then falls off to small values for the occurrence of oxygen atoms and to the increase of gas temperature. The dissociation degree reaches a value of 0.1% under the conditions of Fig. 7. The  $N_v$  distributions present the usual form, being made by three portions i) the Treanor's distribution ii) the plateau iii) the Boltzmann tail at  $T_g$ . The results reported in Figs. 7-8 shows the possibility of PVM in dissociating CO in electrical discharges. The experimental support for these calculations can be found on the dissociation rate measured by D'Amico and Smith (Ref. 13). These authors, in fact, found a characteristic dissociation time of 30 s at a reduced energy of 0.5 eV and  $n_e = 10^{10}$  cm<sup>-3</sup>. Dissociation by DEM in these conditions yields an approximate value of  $10^4$  s. An appropriate scaling of the theoretical values reported in Fig. 7 to the experimental conditions, based on the considerations reported for nitrogen, yields a characteristic time in good agreement with the experimental one. This agreement does however appear optimistic, in view of 3% dissociation under the experimental conditions (Ref. 13). The presence of oxygen atoms will deplete the tails of  $N_v$  distributions, thereby the dissociation constant obtained in PVM will result lowered. A more realistic model should make use of the joint vibroelectronic mechanism previously discussed. An approximate calculation based on a judicious estimate of  $k_{12}^Q(v)$  rates yields a dissociation rate of JVE two orders of magnitude larger than  $k_{12}^Q(v=0)$ , i.e. a characteristic dissociation time of 100 s, to be compared with the experimental value of 30 s. It should be furthermore pointed out that chemical dissociation channels such as



can be activated by the presence of vibrationally excited molecules (Refs. 12,14).

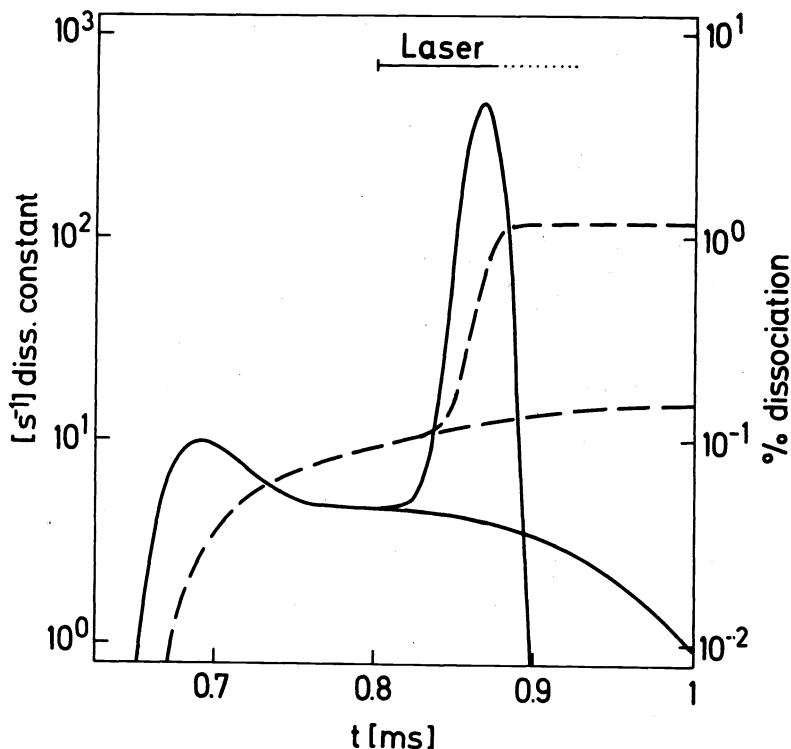


Fig. 7 Dissociation constant (full lines) and dissociation degree (dashed lines) as a function of time in CO electrical discharge with (upper curves) and without (lower curves) the superposition of an IR pulsed CO laser ( $n_e = 10^{12} \text{ cm}^{-3}$ ,  $E/N = 8 \cdot 10^{-16} \text{ V cm}^2$ ,  $N_{\text{CO}} = 10^{17} \text{ cm}^{-3}$ ) (From Ref. 12).

This last point is indirectly confirmed by the experiments of Rich and coworkers (Ref. 15) who found dissociation of CO induced by an IR CO laser operating on several  $v \rightarrow (v-1)$  transitions. In this case the IR laser pumps vibrational quanta on the first vibrational levels of CO and the V-V pumping up mechanism redistributes the vibrational quanta over the higher vibrational levels. Figure 9, which reports the experimental  $N_v$  distributions measured by Rich and coworkers, shows that only levels up to  $v=40$  can be pumped by PVM and that the  $N_v$  distributions present Boltzmann tails at  $T_g$ . This means that under the experimental conditions (Ref. 15) a pure vibrational mechanism can not dissociate the CO molecule. The dissociation process, monitored by the  $\text{C}_2$  emission bands, may be explained by chemical reactions, activated by PVM (see Refs. 12,14). The experimental  $N_v$  distributions reported in Fig. 9 are indeed very important, showing the possibility for a diatomic molecule to reach very high vibrational levels. An attempt to obtain the rate coefficient of reaction (12 d) was performed by Legasov et al. (Ref. 16) under the following assumptions i) the cross sections for process (12 d) were calculated within the framework of the bimolecular reaction statistical theory ii) a Treanor's distribution was assumed to hold for the vibrational distribution. Under these assumptions, Legasov et al. found the following equation for  $k_r$ :

$$k_r = k_0(T_g) (T_v/E_a)^2 (\omega_{\text{CO}}/\omega_{\text{CO}_2})^2 \exp(-h\nu_{\text{CO}}T_g/4x_e T_v^2) \quad (13)$$

where  $k_0(T_g)$  represents the elastic scattering rate constant which depends on the translational temperature  $T_g$ ,  $E=6\text{eV}$  is the reaction activation threshold,  $T_v$  is the vibrational temperature,  $x_e$  is the anharmonicity of CO and  $\omega$  is the main frequency of vibration. Calculations of  $k_r$  by means eq. (13) shows that the  $k_r$  values are larger than the corresponding thermal values by several orders of magnitude.

Finally Figs. 7-8 also show the influence of a pulsed CO laser on the dissociation rate and on the  $N_v$  distributions of CO prepared by an electrical discharge (Ref. 12). One can see that the dissociation constant is increased by two orders of magnitude and the degree of dissociation by a factor 6 as compared with the corresponding values obtained without laser pulse.

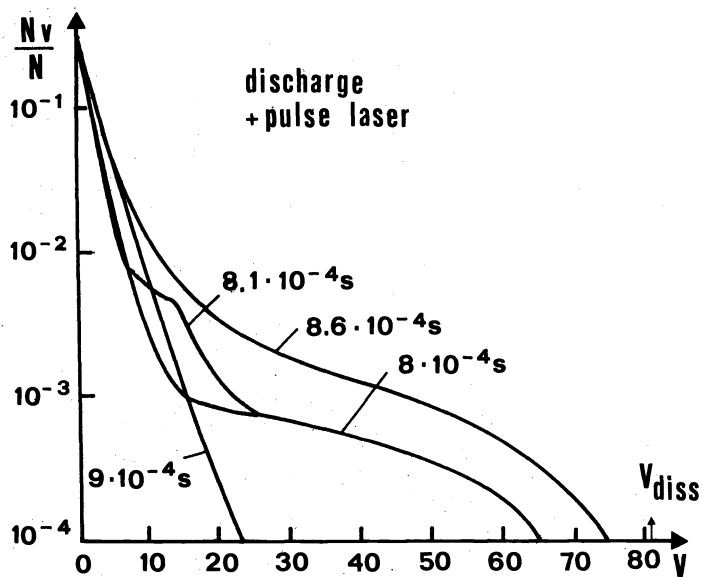


Fig. 8 Vibrational distribution as a function of vibrational quantum number at different times before, during and after the laser pulse in CO electrical discharges (same conditions of Fig. 7).

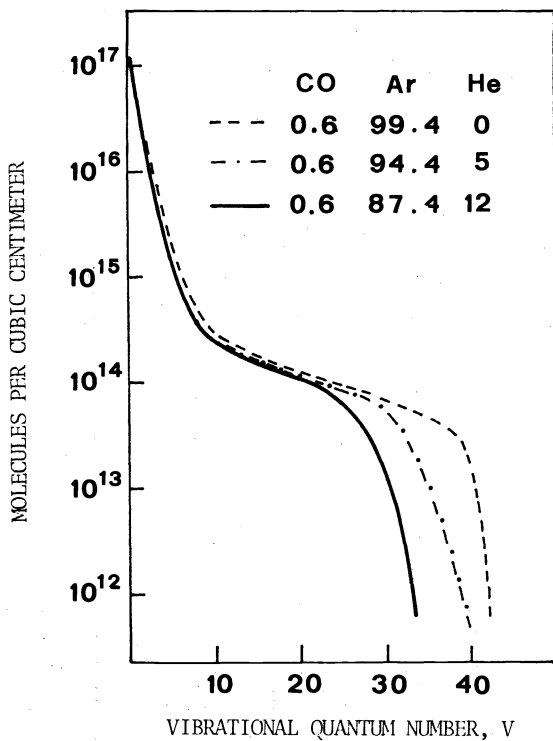


Fig. 9 Vibrational distribution  $N_v$  as a function of vibrational quantum number in cold CO induced by a cw CO laser in different mixtures. (From Ref. 15).



## ELECTRON ENERGY DISTRIBUTION FUNCTIONS AND VIBRATIONAL KINETICS

In this section we want to present some examples showing the importance of coupling the vibrational kinetics (including the dissociation process) and the electron energy distribution function (edf). The electron energy distribution function, in fact, drastically changes in going from a cold molecular gas to a vibrationally excited molecular gas and finally to a gas essentially formed by parent atoms. This point can be appreciated in Fig. 10, where edf's for  $H_2(v=0)$ ,  $H_2(v)$  and  $H_2(v=0)/H$  mixtures have been reported as a function of energy at different  $E/N$  values (Ref. 17). It can be shown that the electron energy distribution functions are completely different in the three cases. In particular the presence of vibrationally excited molecules and of parent atoms strongly increases the tail of edf as compared with the cold gas approximation.

In general one must expect a temporal evolution of edf during the temporal evolution of  $N_V$  and of the parent atoms. Three characteristic times need to be examined:

- 1) at  $t=0$  all molecules are in the ground vibrational level of the diatomic molecule. In this case the  $e-V$  and  $e-D$  rates must be calculated from edf in the cold gas approximation (Ref. 17);
  - 2) at times of the order of the electron vibration relaxation time  $\tau_{e-v} = (n_e k_{1,0}^e)^{-1}$  the molecular gas contains vibrationally excited molecules. The electron energy distribution functions must include the effect of superelastic vibrational collisions (Ref. 17);
  - 3) at times of the order of the dissociation relaxation time  $\tau_D = k_D^{-1}$  a fraction of molecules is dissociated in parent atoms. Edf now must include the presence of atoms and molecules.
- Steps 1-3 can not in general be separated, so that one must solve the Boltzmann equation for edf coupled to the system of vibrational master equations (Ref. 18). The main aspects of this coupling will be illustrated for  $O_2$  and  $H_2$  in the next pages.

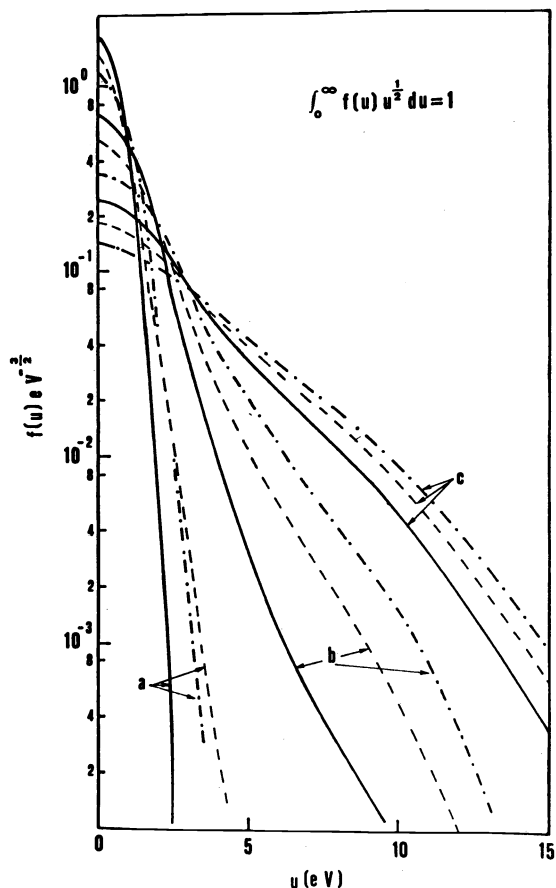


Fig. 10 Electron energy distribution functions as a function of energy at different  $E/N$  values in  $H_2$  (—: cold molecular gas; - - -: vibrationally excited molecular gas with  $T_v = 3500$  °K; - · - ·:  $H_2/H$  1/1 mixtures) (a:  $E/N = 10^{-16}$  Vcm<sup>2</sup>; B:  $E/N = 3 \cdot 10^{-16}$  Vcm<sup>2</sup>; c:  $E/N = 6 \cdot 10^{-16}$  Vcm<sup>2</sup>) (From Ref. 17).

### Oxygen

The characteristic features to be considered for the oxygen system are the following:

- 1) e-V pumping rates are small;
- 2) V-V rates overcome V-T ones in the molecular system;
- 3) Process  $O_2(v)-O$  is very effective in removing vibrational energy by V-T transfer;
- 4) Dissociation via direct electron impact to both the  $A \Sigma_u^+$  and the  $B^3\Sigma_u^-$  excited electronic states is very effective.

In order to obtain a large contribution of vibrational levels to the dissociation rate one must choose electrical conditions which maximize e-V rates with respect to e-D ones (Refs. 19 20). These conditions occur at very small E/N values ( $E/N \leq 10^{-16} \text{Vcm}^2$ ), as can be appreciated by looking at the fractional power transferred in the different degrees of freedom (Ref. 21). Figure 11 reports electron energy distribution functions selfconsistent with the  $N_v$  distributions of Fig. 11b ( $E/N = 0.8 \cdot 10^{-16} \text{Vcm}^2$ ). One can note that at times of the order of the electron vibration relaxation time the selfconsistent electron energy distribution functions, which include the effect of superelastic vibrational collisions, present tails one order of magnitude more populated than the corresponding ones at  $t = 0$ . This situation propagates particularly in the electron dissociation coefficients, as can be appreciated in Fig. 11c.

$k_d^e(v=0)n_e$ , which is the sum of the Herzberg and Schumann transitions, increases by a factor 2 by passing from  $t = 0$  to  $t = \tau_{e-v}$ . In this situation the other vibrational levels contribute to  $k_{dj}$ , which is two times larger than  $k_d^e(v=0)n_e$ . The strong decrease of both  $k_{dj}$  and  $k_d^e(v=0)n_e$  for  $t > \tau_{e-v}$  is due to the deactivating action of oxygen atoms, which tend to destroy the vibrational energy content of the molecules. It should be noted that for  $E/N < 0.8 \cdot 10^{-16} \text{Vcm}^2$  the differences between  $k_{dj}$  and  $k_d^e(v=0)n_e$  tend to increase as a consequence of the smaller production of oxygen atoms (Ref. 18). Figure 12 reports such a case for  $E/N = 0.4 \cdot 10^{-16} \text{Vcm}^2$ . It is worth noting that for this condition,  $k_{dj}$  overcomes  $k_d^e(v=0)n_e$  by a factor 5 and that the contribution of PVM is of the same order of magnitude as  $k_d^e(v=0)n_e$ .

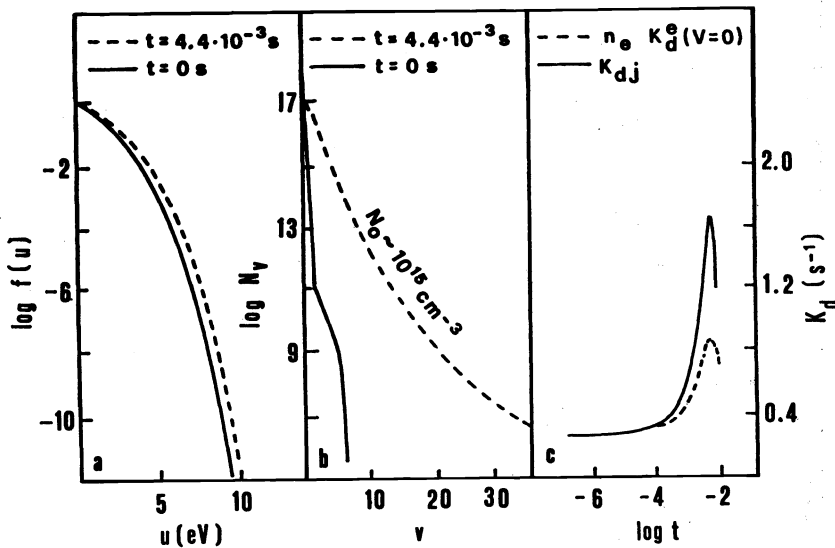


Fig. 11 a) Electron energy distribution function selfconsistent with the vibrational kinetics in  $O_2$ ; b) vibrational distributions  $N_v$  as a function of vibrational quantum number at different times; c) dissociation constants as a function of time ( $E/N = 8 \cdot 10^{-17} \text{Vcm}^2$ ,  $n_e = 10^{12} \text{cm}^{-3}$ ,  $N_0 = 10^{17}$ ,  $T_g = 500 \text{°K}$ ).

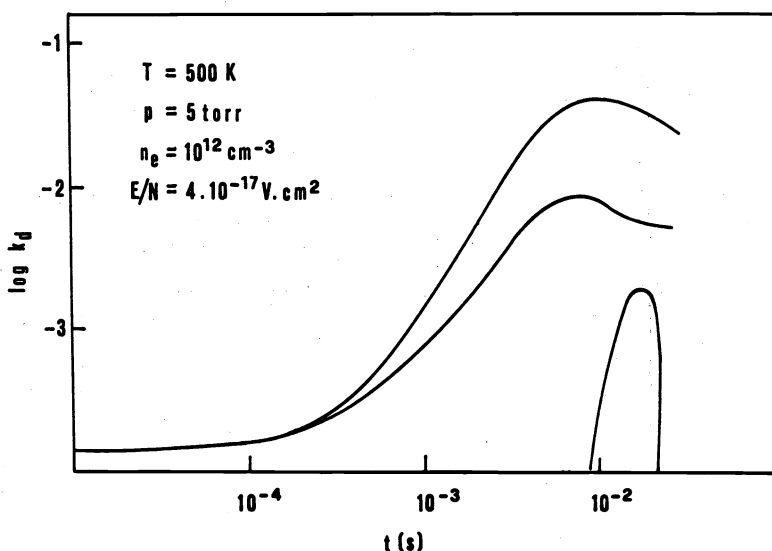


Fig. 12 Dissociation constants ( $s^{-1}$ ) as a function of time in  $O_2$  (upper curve:  $k_{dj}$ ; middle curve:  $k_d^e(v=0)n_e$ ; lower curve: contribution from PVM).

Let us now consider an  $E/N$  value greater than  $10^{-16}$   $Vcm^2$ , at which one should expect that the production of oxygen atoms will be sufficient to completely deactivate the vibrational content of the molecules. Figure 12 gives the  $N_v$  distributions for  $E/N = 3 \cdot 10^{-16}$   $Vcm^2$ . Apparently the  $N_v$  distributions look very similar to those reported for the other system. A most accurate inspection, however, shows that the levels near to the ground vibrational level are several orders of magnitude underpopulated as compared with the corresponding values of Figs. 5,8,9,11. This means that the plateau present in Fig. 12 of vibrational levels extending from the continuum to the low lying vibrational levels has a nature completely different from those previously reported for  $CO$ ,  $N_2$  and  $O_2$  at low  $E/N$ . In all these cases, in fact, the plateau of vibrational levels is due to the prevalence of near resonant V-V energy transfers (Ref. 6). The plateau of Fig. 12 is generated by the recombination process (Ref. 20), which feeds vibrational energy on the last vibrational level  $v'$  of  $O_2$ . This energy is then redistributed on all other levels by the following cascade:



and so on. It can be shown (Ref. 20) that the population density of vibrational levels belonging to the plateau changes in time as

$$N_v = \left( \frac{P_{v'+1,v'}^i}{P_{v,v-1}^i} \right) N_0^2 \quad (15)$$

where  $N_0$  is the oxygen atom number density.

This plateau can redissociate leading to a recombination assisted dissociation process, which increases the total dissociation rate. This last point can be appreciated in Fig. 14, where the different contributions to the dissociation rate have been reported as a function of time. One notices that the contribution of the recombination assisted dissociation process (i.e. the reverse of process 14a) is approximately 20% of DEM from  $v=0$ , while the contribution coming from DEM involving vibrationally excited levels is indeed very small. The recombination assisted dissociation process can help to understand the  $O_2$  dissociation mechanism. Cramarossa et al. (Ref. 22) very recently performed an experimental determination of the dissociation degree of  $O_2$ , under essentially the same electrical conditions reported in Figs. 13,14. The experimental dissociation degree (Ref. 22) was reproduced by a first order kinetic law, without considering the recombination process. Cramarossa et al. concluded their analysis with the suggestion that the suppression of the recombination process could be due to the recombination assisted dissociation process operating not only on the ground electronic state but also on the metastable states near to the dissociation limit of  $O_2$ . Finally we want to point out that very recently Lawton and Phelps (Ref. 22) proposed to consider the Schumann's transition (i.e. the state  $B^3\Sigma_u^-$ ) as the only dissociation state of  $O_2$ . This point does not change the qualitative conclusions reported in the present work. However since in our calculations for  $E/N < 10^{-16}$   $Vcm^2$  DEM for  $O_2$  occurs mainly through the Herzberg transition (i.e. the state  $A^3\Sigma_u^+$ ), the conclusions of Lawton and Phelps should give more importance to PVM for  $E/N < 10^{-16}$   $Vcm^2$ .

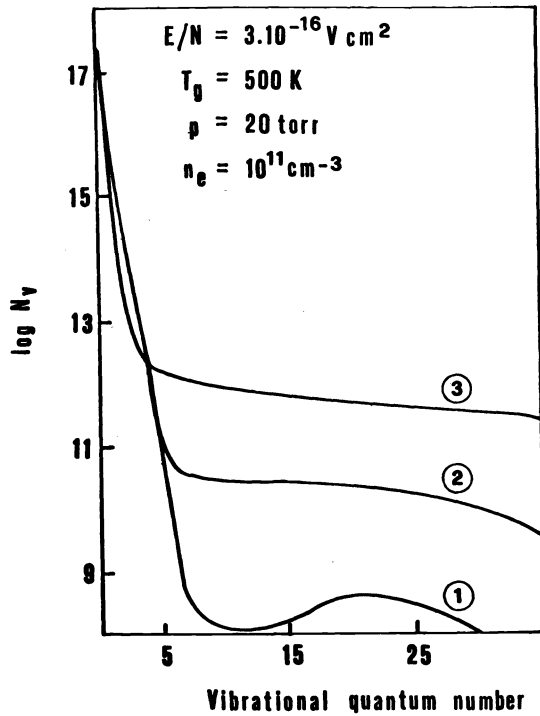


Fig. 13 Vibrational populations  $N_v$  as a function of vibrational quantum number in  $O_2$  (curve 1:  $t = 2 \cdot 10^{-4} s$ ,  $N_0 = 2.5 \cdot 10^{15} cm^{-3}$ ; curve 2:  $t = 2.3 \cdot 10^{-3} s$ ,  $N_0 = 3.3 \cdot 10^{16} cm^{-3}$ ;  $t = 8.7 \cdot 10^{-3} s$ ,  $N_0 = 2.7 \cdot 10^{17} cm^{-3}$ ).

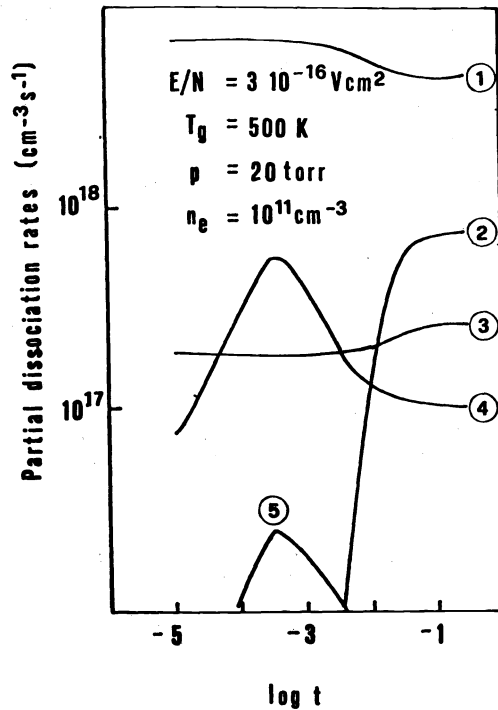


Fig. 14 Partial dissociation rates in  $O_2$  as a function of time (curve 1:  $n_e N_{v=0} k_d^S(v=0)^H$ ; curve 2:  $N_v \cdot N_O P_{v', v'+1}^O + N_v \cdot N_{O_2} P_{v', v'+1}^{O_2}$ ; curve 3:  $n_e N_{v=0} k_d^S(v=0)^S$ ; curve 4:  $n_e N_{v=1} k_d^S(v=1)^H$ ; curve 5:  $n_e N_{v=1} k_d^S(v=1)^S$ . H = Herzberg transition; S = Schumann transition).

This point should confirm the validity of our previous work on PVM (Refs. 19,20). On the other hand, for  $10^{-16} < E/N < 10^{-15}$  Vcm<sup>2</sup> the presence of vibrationally excited molecules should affect JVE at least for short times (before the appearance of oxygen atoms). This last point can be understood in Fig. 15 where the partial dissociation rates for the same conditions of Fig. 14 have been reported as a function of time under the assumption that only the Schumann's transition is effective in dissociating oxygen. One notices that the results of Fig. 15 follow qualitatively those of Fig. 14, the only exception being represented by the increased importance of  $v \neq 0$  vibrational levels in affecting the dissociation rate.

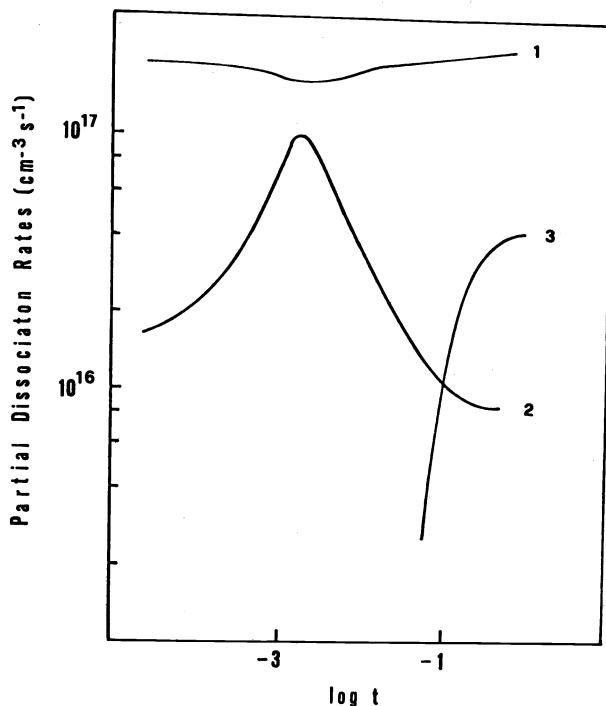


Fig. 15 Partial dissociation rates in O<sub>2</sub> as a function of time calculated by taking into account only the Schumann transition as electronic dissociation channel (curve 1:  $n_e N_{v=0} k_d^e(v=0)^S$ ; curve 2:  $n_e \sum_{v \neq 0} N_v k_d^e(v)^S$ ; curve 3:  $N_{v'} N_{O^+} P_{v',v'+1}^{O_2} + N_{v'} N_{O_2} P_{v',v'+1}^{O_2}$ ).

### Hydrogen

The following points must be taken into account to understand the behaviour of this molecule:

- 1) e-V rates are between those of O<sub>2</sub> and N<sub>2</sub>;
- 2) V-V rates overcome V-T ones in the molecular system at low gas temperature;
- 3) Process H<sub>2</sub>(v)-H is very effective in removing vibrational energy by V-T energy transfer particularly at high temperature (Refs. 24,25);
- 4) Dissociation by DEM occurs at  $E/N > 2 \cdot 10^{-16}$  Vcm<sup>2</sup>.

The effect of vibrational excitation on the dissociation rate may be therefore important at low T<sub>g</sub> and E/N (Ref. 26). This point is shown in Fig. 16, where the dissociation constant  $k_{d,j}$  calculated in JVE has been compared with the corresponding  $k_d^e(v=0)n_e$  value. One notices that at  $E/N = 3 \cdot 10^{-16}$  Vcm<sup>2</sup>  $k_{d,j}$  is larger than  $k_d^e(v=0)n_e$  by a factor 5, the differences do not exceed a factor 2 at  $E/N = 5 \cdot 10^{-16}$  Vcm<sup>2</sup>. The values reported in Fig. 16 have been obtained by coupling edf and system of vibrational master equations including the processes of dissociation and recombination. The superelastic vibrational collisions affect the tails of edf thereby increasing the e-D rates, as can be appreciated in Fig. 16 by looking at the temporal evolution of  $k_d^e(v=0)n_e$ . The results reported in Fig. 16 show that vibrational excitation in H<sub>2</sub> can be achieved only for times shorter than the dissociation relaxation time.

In several cases, however, the residence time under laboratory plasmas is longer than  $\tau_d$ . Moreover the gas temperature is greater than 300 °K. As a consequence one should expect a strong influence of H-atoms on the vibrational content of H<sub>2</sub> molecules. These points can be seen in Fig. 17 a-c, where edf, vibrational populations and degree of dissociation have been reported as a function of electron energy, vibrational quantum number and time respectively. It is worth noting that edf progressively changes from the cold gas approximation to a mixture essentially made by hydrogen atoms. The  $N_v$  distributions are now populated by the recombination process as in O<sub>2</sub> at  $E/N > 10^{-16}$  Vcm<sup>2</sup>.

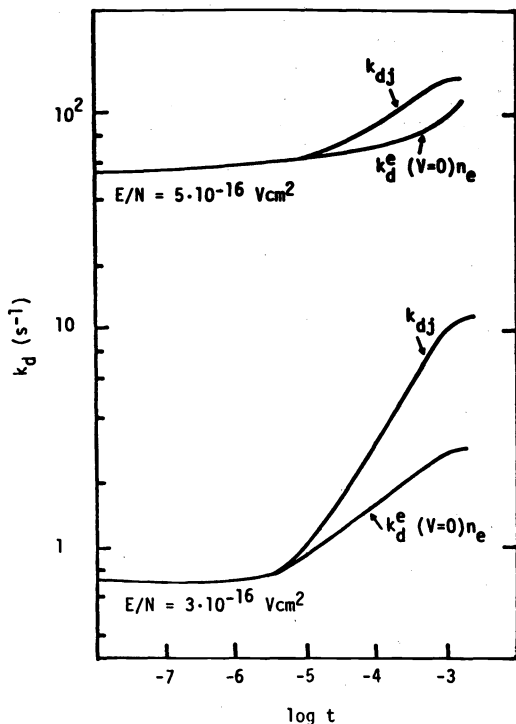


Fig. 16 Dissociation constants as a function of time for  $H_2$  at two different  $E/N$  values ( $T_g = 300$  °K,  $N_{H_2} = 10^{17}$   $cm^{-3}$ ,  $n_e = 10^{12}$   $cm^{-3}$ ).

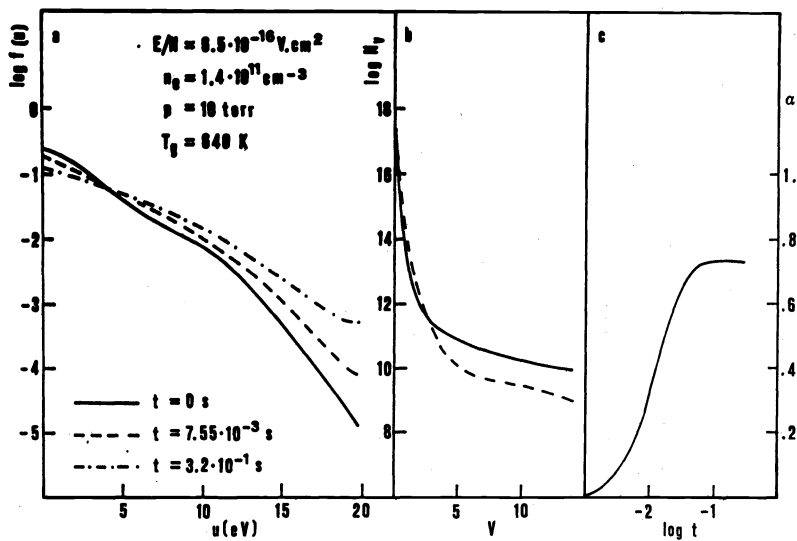


Fig. 17 a) Electron energy distribution functions selfconsistent with the vibrational distributions in  $H_2$ ; b) vibrational distributions as a function of vibrational quantum number at different times (—  $t=7.55 \cdot 10^{-3}$  s; - - -  $t=3.2 \cdot 10^{-1}$  s) dissociation degree of  $H_2$  as a function of time.

Figure 18 reports the partial dissociation rates for the conditions of Fig. 16. One notices that  $k_d^g(v=0)n_e N_{v=0}$  is the largest contribution to the total dissociation rate, the contribution coming from the excited vibrational levels being negligible. The recombination assisted dissociation process contributes up to 25%. It should be also noted that the dissociation constant  $k_d^g(v=0)n_e$  increases by approximately a factor 4, passing from  $t=0$  to  $t=0.1s$ , following the temporal evolution of edf (see Fig. 19). This last point may be very important in explain; the experimental dissociation rates (Ref.5) (see also Ref. 17).

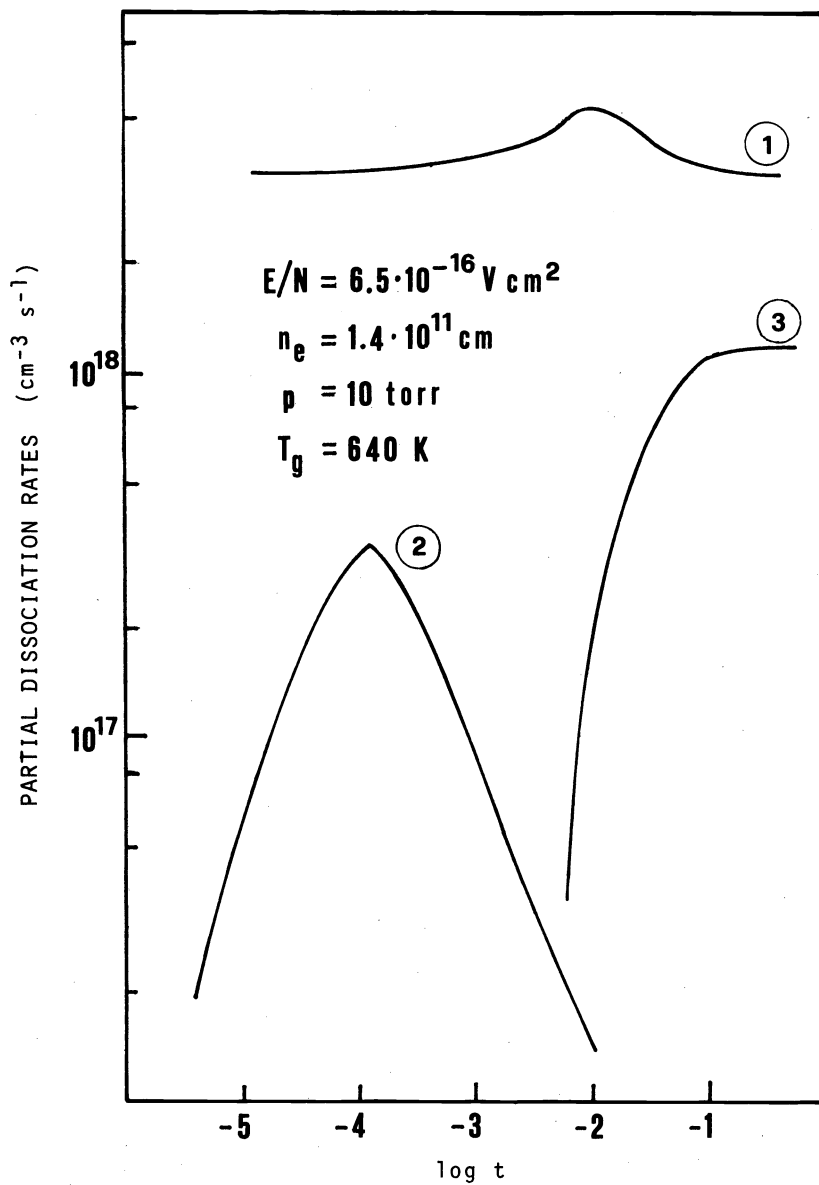


Fig. 18 Partial dissociation rates as a function of time in  $H_2$  (curve 1:  $n_e N_{v=0} k_d^g(v=0)$ ; curve 2:  $n_e \sum_{v \neq 0} N_v k_d^g(v)$ ; curve 3:  $N_v N_H P_{H, v', v'+1} + N_v N_{H_2} P_{v', v'+1}^{H_2}$ )

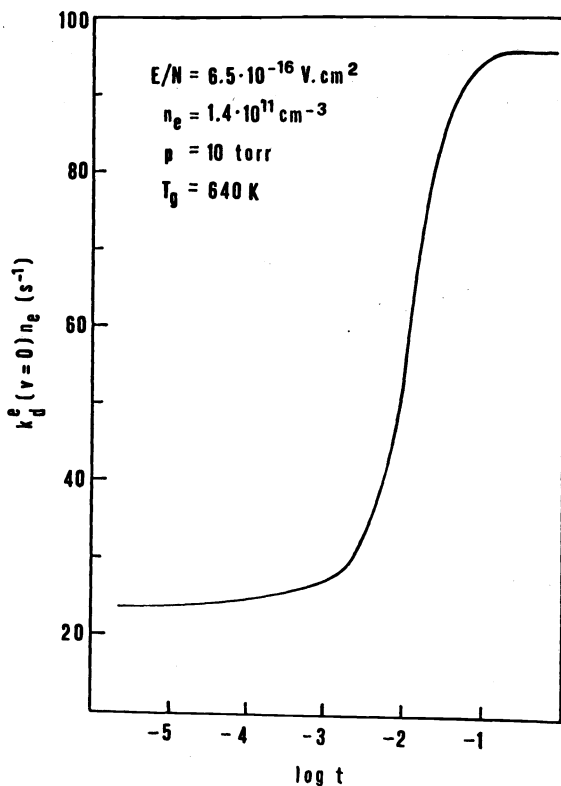


Fig. 19 Dissociation constant  $k_d^e(v=0)$  as a function of time.

#### VIBRATIONAL EXCITATION AND CHEMICAL REACTIONS

##### The synthesis of NO

The role of vibrational excitation on the synthesis of NO from vibrationally excited  $N_2$  and oxygen atoms



has been reported by Legasov et al. (Ref. 16). These authors, by making the same assumptions discussed for  $CO(v)-CO(v)$  chemical reactions, obtained the following rate coefficient for reaction (16)

$$k_r(T_v) = k_o(T_g) \rho(v=E_a/h\nu) (T_v/E_a) (1+T_g/T_v)^3 \exp(-E_a/T_v) \quad (17)$$

where  $\rho(v=E_a/h\nu)$  is the increase of the geometry cross section calculated at  $v=E_a/h\nu$ ,  $E_a$  being the activation energy of process (16). Inspection of Eq. (17) shows that  $k_r$  at the vibrational temperature  $T_v$  is much larger than the corresponding value at  $T_g$ .

An other very simple expression for the rate coefficient of process (16) was found by Legasov et al. under the hypothesis that the loss of vibrational quanta by V-T energy exchanges can be neglected as compared with the corresponding loss by chemical reactions. In this case the reaction rate of process (16) can be written as

$$v_r = n_e N_{N_2} k_{o,v}^e \exp(-h\nu/E_a) \quad (18)$$

where  $k_{o,v}^e$  is an effective electron vibration rate coefficient.

It should be, however, noted that the neglect of V-T losses in the  $N_2(v)-O$  system is a poor approximation, since this process presents large deactivation rates (Ref. 27). The best way of modelling the  $N_2(v)+O$  process is therefore to follow a master equation approach with the addition to eq. (7) of a relaxation term due to reaction (16). The V-T relaxation term should also include the  $N_2(v)-O$  term. The rate coefficients of process (16), calculated by Polak (Ref. 7), have been reported in Fig. 20 as a function of  $1/T_g$ . One notices the strong increase of the rate coefficient with increasing the vibrational quantum number. This means that, if a consistent population of vibrational levels can be achieved in an electrical discharge, the contribution of vibrationally excited molecules to process (16) can exceed the corresponding contribution from  $v=0$ . An experimental evidence of non-equilibrium



effects on the NO synthesis has been reported by Legasov et al. The close analogy between the process initiated by electrical discharges and the corresponding one induced by laser excitation can be found by looking at the experimental results quoted by Basov et al. (Ref. 28). These authors in fact, excited directly the first vibrational level of  $N_2$  by combinational Raman scattering from a Ruby laser. V-V pumping up then transported the vibrational quanta to vibrational levels able to react with cold  $O_2$

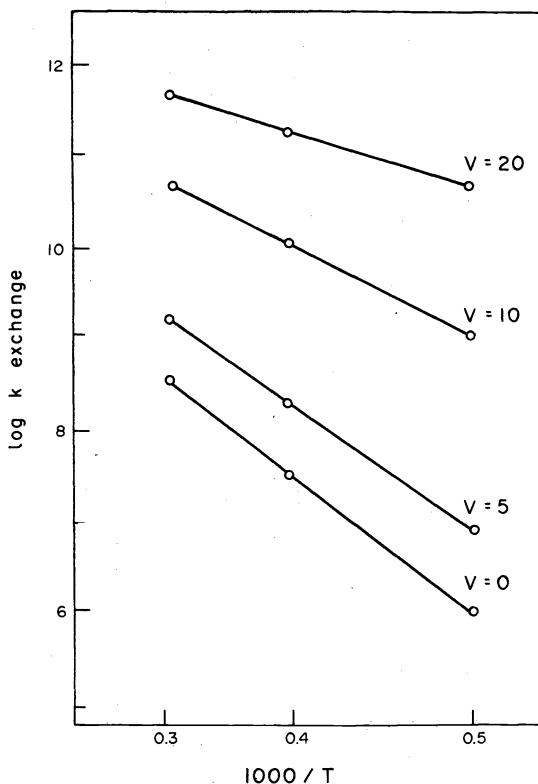


Fig. 20 Dissociation rates for the exchange reaction  $N_2(v) + O \rightarrow NO + N$  as a function of  $1/T$  for different vibrational levels (From Ref. 7).

#### Polyatomic molecules

The role of vibrational excitation in affecting chemical reactions involving polyatomic molecules is at the first stage of understanding. An experimental evidence of these effects has been reported by Capezzuto et al. (Ref. 29) for the dissociation of  $CO_2$  in electrical discharges. Figure 21 reports the ratio between calculated  $k_D^0(v=0)n_e$  for DEM and the corresponding experimental value  $k_{exp}$ . One notices that a pure electronic mechanism can not represent the unique mechanism of dissociation. The contribution of DEM to the observed rates decreases with decreasing the reduced energy  $\bar{u}_r$ , becoming negligible at  $\bar{u}_r=0.8eV$ . In these conditions one can see that the fractional power input goes essentially in the vibrational degree of freedom (Ref. 1). Similar results have been reported by the same authors (Ref. 30) in the cracking of hydrocarbons.

An attempt to model chemical reactions involving polyatomic molecules is under study in our laboratory. The model used is similar to that adopted by Lyman (Ref. 31) for explaining the dissociation rate of polyatomic molecules induced by IR laser interaction in the collision regime. In our case the electrons have the same effect of IR laser in introducing vibrational quanta, which then can be used for the chemical reaction.

#### CONCLUDING REMARKS

The results reported in the previous pages have shown the importance of vibrational non-equilibrium conditions in affecting chemical reactions in electrical discharges. Many problems have been, however, overlooked in this review. Among them we mention the role of rotational non-equilibrium and the presence of metastable states in affecting chemical reactions. All these problems, which have been separately discussed by different authors, must be now inserted in a master equation formalism including vibrational non-equilibrium, rotational non-equilibrium, presence of atoms and of metastable species. The overall problem represents a formidable kinetic problem to be solved in the next years.

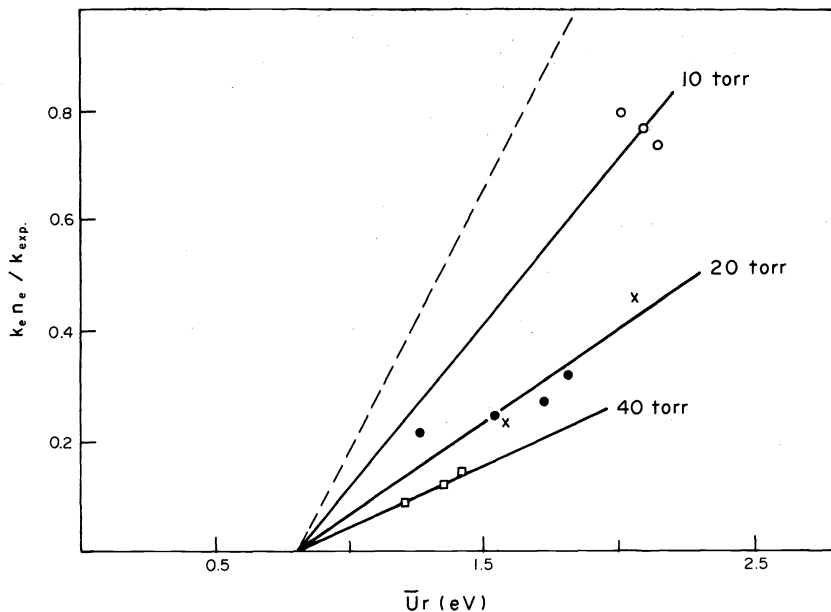


Fig. 21 Values of  $k_e n_e / k_{exp}$  as a function of reduced energy at different pressures in  $CO_2$  (for the dashed line see Ref.29;  $k_e n_e = k_d^e(v=0) n_e$ ).

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