# Intramolecular strategies and stereoelectronic effects. Glycosides hydrolysis revisited

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Abstract. It has been generally accepted that stereoelectronic effects play an important role in hydrolytic processes. However, the stereoelectronic theory has been criticized because the relative rates of glycosides hydrolysis could not be readily explained. Alternative mechanisms based on a synperiplanar pathway or on the principle of least motion have been proposed. A brief survey of the evidence provided by us and others which support stereoelectronic principles is presented. More recent works on the kinetically controlled spiro acetalization of hydroxy enol ethers show that spiroacetal formation takes place via an early transition state while following the antiperiplanar pathway. This approach corresponds closely to the Bürgi-Dunitz angle of attack of a nucleophile on a pi-system. As a result, transition states having a geometry which corresponds to the beginning of a chair form are preferred over those corresponding to a boat form. The general mechanism of reactions occurring at the anomeric center in alpha and beta-glycosides, including kinetic data for hydrolysis can be rationalized on that basis. Proposed alternative pathways are also examined.

Evidence from our laboratory that stereoelectronic effects are a key element in the understanding of the chemical reactivity of organic reactions started with the discovery of ozonolysis of acetals. In this work, it was first discovered (ref. 1) that in order to observe an oxidation, an acetal must take a conformation where each oxygen can have an electron lone pair antiperiplanar to the C—H bond. For instance, it was found (Scheme 1) that the  $\beta$ -glycosides 1 were selectively oxidized to the corresponding hydroxy ester 3 whereas the corresponding  $\alpha$ -isomers 5 were found to be unreactive under the same conditions.

The preferential formation of hydroxy-ester 3 from the hydrotrioxide tetrahedral intermediate 2 which is formed during the ozonolysis of the acetal led us to further postulate that stereoelectronic effects might be the main driving force in hydrolytic processes. In this case, it was assumed that intermediate 2 is equivalent to a tetrahedral intermediate and we postulated that specific cleavages can take place when two oxygen atoms of such a tetrahedral intermediate can have each an electron lone pair antiperiplanar to the leaving group. Under such conditions, intermediate 2, in the chair form, can only give the hydroxy methyl ester 3, none of the corresponding lactone 4 and methanol can be produced.

More direct experimental evidence that stereoelectronic effects (ref. 2) control hydrolytic processes were then obtained by studying the behavior of cyclic orthoesters (ref. 3). For instance, the conformationally rigid mixed orthoester 6 was shown to yield the hydroxy-methyl ester 9 following the pathway described in Scheme 2. Indeed the antiperiplanar hypothesis predicts preferential loss of the protonated axial deuterated methoxy group from 6 to yield the cyclic dioxocarbonium ion 7 which must be hydrated to give the corresponding tetrahedral intermediate 8 having an axial hydroxyl group. This intermediate can then only lead to the hydroxy methyl ester 9 (Note a).

We have subsequently obtained experimental evidence that there is stereoelectronic control in the acetal formation by studying the mild acid cyclization of bicyclic hydroxypropyl acetal 10 (Scheme 3) under kinetic and thermodynamic conditions (ref. 5). Upon acid treatment (PTSA-MeOH) at room temperature, 10 gave only the *cis* tricyclic acetal 13 whereas an equilibrium mixture (45:55 ratio) of *cis* and *trans* acetals 13 and 15 was obtained after refluxing conditions. The kinetically controlled formation of 13 was then explained in the following way. Upon acid treatment, it was assumed that 10 gave first the cyclic oxocarbonium ion 11 which underwent a stereoelectronically controlled cyclization via an antiperiplanar attack to give the *cis* acetal 13 via a chair-like pathway ( $11 \rightarrow 12 \rightarrow 13$ ). The formation of the *trans* acetal 15 was not observed under kinetic conditions because this compound can be produced only via a high energy twist-boat pathway ( $11 \rightarrow 14 \rightarrow 15$ ) which is the result of an antiperiplanar attack of the incoming hydroxyl group.

On that basis, we further postulated (ref. 2) that  $\alpha$ -glycosides (axial anomer) must hydrolyze via their ground state conformation whereas  $\beta$ -glycosides (equatorial anomer) must first assume a boat conformation in order to fulfill the stereoelectronic requirement of the antiperiplanar hypothesis as shown in Scheme 4. It follows that if one assumes that the hydrolysis takes place via an early transition state

<sup>&</sup>lt;sup>a</sup> Compound 6 is also formed stereospecifically by the addition of CD<sub>3</sub>O<sup>-</sup> to the cation 7 under aprotic conditions. It is also produced stereospecifically when the corresponding dimethyl orthoester undergoes acid-catalyzed exchange with CD<sub>3</sub>OH in methanol-d<sub>4</sub>-dichloromethane (ref. 4). The exchange of the equatorial OCH<sub>3</sub> group is much slower (~100 times).

### Scheme 4

which resembles the reactive conformation of the protonated acetal rather than the cyclic oxocarbonium ion, the hydrolysis of  $\alpha$ -glycosides via a chair-like transition state should be faster than that of  $\beta$ -glycosides which must proceed via a twist-boat transition state.

The rate of hydrolysis of  $\alpha$  and  $\beta$ -glycosides have been measured and it does not agree with the above hypothesis, the  $\beta$ -anomers being generally hydrolyzed at a slightly faster rate (up to 2 or 3 times). Eikeren (ref. 6) has measured the relative rate of hydrolysis of the conformationally rigid bicyclic acetals 16 and 17 (Scheme 5) and found that the axial anomer 16 is hydrolyzed slightly faster by a factor of 1.5. Since  $\alpha$  and  $\beta$ -glycosides bear additional hydroxyl groups which are likely to influence (Note b) the rate of hydrolysis, compounds 16 and 17 are better models for comparing the reactivity of  $\alpha$  and  $\beta$ -anomers. Eikeren measured the activation parameters for the hydrolysis of 16 and 17 and found a slightly larger entropy term for the axial anomer and this observation led him to postulate an early

<sup>&</sup>lt;sup>b</sup> By comparison, D-glucopyranosides and 2-deoxy-D-arabino-hexapyranosides are hydrolyzed at 10<sup>-7</sup> and 10<sup>-3</sup> times the rate of tetrahydropyranyl ethers respectively (ref. 7).

transition state for the equatorial anomer with less C—O bond cleavage, and a slightly later transition state for the axial anomer with more extensive C—O bond cleavage. However, since the difference in rate between model compounds 16 and 17 is so small, it is clear that these results cannot be explained by the antiperiplanar hypothesis while assuming an early transition state along the reaction coordinates. This topic will be rediscussed later.

A large body of experimental evidence showing that the hydrolysis of acetals is governed by stereoelectronic effects has also been reported by Kirby and his co-workers. This work has been explained in details in his book (ref. 8) and in two recent reviews (ref. 4): The most convincing results were obtained by studying the spontaneous hydrolysis of conformationally restricted aryloxytetra-hydropyranyl acetals 18 and 19 (Scheme 5). Isomer 18 underwent hydrolysis 200 times faster than 19. More strikingly, the completely rigid bicyclic acetal 20 was found to hydrolyze 1.2 x 10<sup>13</sup> times less rapidly than the tetrahydropyranyl acetal 21. In this last case, the oxygen atom can only destabilize the cation through an inductive effect, since its lone pair electrons are not properly aligned to provide the stabilization which is normally observed by electronic delocalization.

Kirby and his collaborators (ref. 4) have also obtained rigorous evidence by making accurate crystal-structure determination of a series of aryloxytetrahydropyranyl acetals which revealed a striking and systematic patterns of changes in the bond lengths at the anomeric center. They found that in axially oriented aryloxytetrahydropyrans, the endocyclic C—O bond is significantly shortened and the C—OAr bond lengthened by an amount which depends on the electronegativity of the leaving group. They further noticed that the variation in bond length is related to the rate of hydrolysis of these acetals in a simple manner. Indeed, the rate of hydrolysis shows a linear variation with the pKa of the leaving group when the C—O cleavage is rate determining.

Since the relative rate of hydrolysis of  $\alpha$  and  $\beta$ -glycosides could not be explained by the antiperiplanar hypothesis along with an early transition state, alternative proposals have been put forward. Fraser-Reid and his collaborators (ref. 9) have recently proposed that the hydrolysis (or formation) of glycosides could take place in some cases by a synperiplanar rather than an antiperiplanar lone pair pathway. Another pathway which is based on the principle of least motion but completely ignores stereoelectronic principles has also been strongly advocated by Sinnott (ref. 10) in recent years. We will examine these alternative rationalizations in detail toward the end of this article. However, the yet non totally general acceptance of the stereoelectronic theory has convinced us to reinvestigate the mechanism of acetal formation and hydrolysis. It appeared to us that it was necessary to establish firmly the position of the transition state along the reaction coordinate in order to better understand the mechanism of acetal hydrolytic processes. This work is now described.

We have started looking for experiments where acetals could be produced in specific configurations under kinetically controlled conditions. For that we have reexamined the formation of 1,7-dioxaspiro[5.5]undecanes, i.e., spiroacetals.

We have previously reported (ref. 11) a study which revealed that the unsubstituted 1,7-dioxaspiro[5.5]undecane exists exclusively in conformation 22a (Scheme 6) even at room temperature. This experimental observation was explained by the fact that conformation 22a is stereoelectronically and sterically more stable than conformations 22b and 22c which were estimated to be less stable respectively by a value of 2.4 and 4.8 kcal/mol (Note c). This result was further confirmed experimentally by comparing the behavior of 1-oxaspiro[5.5]undecane which was shown to exist as an equilibrium mixture of two conformers, 23a and 23b in a 4:1 ratio (ref. 12).

We have also showed (ref. 11) that the acid cyclization of ketodiols 24 led only to thermodynamically controlled conditions producing substituted 1,7-dioxaspiro[5.5]undecanes 25 having a conformation corresponding to that of 22a. For instance, 2-methyl-1,7-dioxaspiro[5.5]undecane was formed as isomer 30 (Scheme 7), none of isomer 31 (which corresponds to conformation 22b) was observed from the acid cyclization of the ketodiol precursor. Similarly, the tricyclic spiroacetal isomer 35 was produced exclusively under similar conditions, none of isomer 36 being formed.

We have recently found a method to produce spiroacetals under kinetically or thermodynamically controlled conditions (ref. 13). This method involves the acid cyclization of hydroxy-enol ethers.

<sup>&</sup>lt;sup>c</sup> The relative energy of 0, 2.4 and 4.8 kcal/mol for **22a**, **22b**, and **22c** was estimated by using the following values: anomeric effect (e) = -1.4 kcal/mol; steric effect: gauche form of n-butane (CC) = 0.9 kcal/mol, gauche form of CH<sub>2</sub>—CH<sub>2</sub>—CH<sub>2</sub>—O (CO) = 0.4 kcal/mol and gauche form of CH<sub>2</sub>—O—CH<sub>2</sub>—O (CO) = 0.4 kcal/mol. Conformer **22a** = 2e + 4 CO = -1.2 kcal/mol, conformer **22b** = 1e + 2 CC + 2 CO = 1.2 kcal/mol and conformer **22c** = 4 CC = 3.6 kcal/mol.

Cyclization of hydroxy-enol ether 26 (Scheme 7) with trifluoroacetic acid / benzene was complete in two hours and gave the known spiroacetal 30 (ref. 11) in quantitative yield. On the other hand, treatment of hydroxy-enol ether 26 with acetic acid / benzene during 19 hours gave a 1:1 mixture of spiroacetals 30 and 31. This ratio was shown to remain unchanged under these mild acidic conditions. It was also observed that the mixture of spiroacetals 30 and 31 was equilibrated (<2 h) upon treatment with trifluroacetic acid / benzene to give only spiroacetal 30. These results show rigorously that acetic acid / benzene and trifluoroacetic acid / benzene provide respectively kinetically and thermodynamically controlled cyclization conditions. Repeating similar experiments with hydroxy-enol ether 27 gave again under thermodynamic control (TFA/benzene, 2 h) only spiroacetal 30. Under kinetic control (AcOH/benzene, 19 h), compound 27 provided a 3:2 ratio of spiroacetals 30 and 31.

Analogous results were obtained with bicyclic hydroxy-enol ether 29. Under thermodynamic conditions (TFA/benzene, 2 h), the known (ref. 11) tricyclic spiroacetal 35 was formed exclusively whereas under kinetically controlled conditions (AcOH/benzene, 10 h), a 3:2 ratio of isomeric spiroacetals 35 and 36 was observed. Again, upon treatment with trifluoroacetic acid / benzene (<2 h), the mixture 35 and 36 underwent equilibration to give exclusively spiroacetal 35.

The acid cyclization of hydroxy-enol ether 28 which is a 1:1 mixture of diastereoisomeric racemic pairs due to the presence of the two secondary methyl groups, was next examined. Cyclization of the diastereoisomeric mixture 28 with trifluoroacetic acid / benzene gave a 1:1 mixture of spiroacetals 32 and 34. On the other hand, cyclization of 28 with acetic acid / benzene provided a mixture of three spiroacetals 32, 33, and 34 in a relative ratio of 3:2:5. Upon treatment with trifluoroacetic acid / benzene, this mixture was converted into a mixture of spiroacetals 32 and 34 in a 1:1 ratio.

As previously discussed, a spiroacetal exists in conformation 22a unless there is a severe 1,3-diaxial steric interaction between the substituents and the ring skeletons. In such a case, the compound will normally adopt conformation 22b unless there is again severe 1,3-diaxial steric interaction which will force the compound to adopt conformation 22c.

The results obtained under thermodynamically controlled conditions can be easily rationalized because we can evaluate the relative energy of the various possible conformations of the spiroacetal isomers, as well as the relative stability of the spiroacetals isomers which can be interconverted under acid conditions. Thus, since isomer 31 is 2.4 kcal/mol less stable than isomer 30, the exclusive formation of 30 when the cyclization is carried out with trifluoroacetic acid / benzene is readily understood. Similarly, only isomer 35 was observed starting with 29 under thermodynamic conditions, because this isomer is more stable (2.4 kcal/mol) than isomer 36. As previously discussed, hydroxy-enol ether 28 is a 1:1 mixture of two racemic diastereoisomers. One of them (racemic mixture of SS and RR) can give racemic spiroacetals 32 and 33 whereas the other (racemic mixture of SR and RS) can only lead to racemic spiroacetal 34. However, since we know that 32 and 33 are interconvertible under acid conditions (but not 34) and that 33 is estimated to be less stable than 32 by 4.8 kcal/mol, it follows that the cyclization of the racemic mixture 28 under thermodynamically controlled conditions should lead to a 1:1 mixture of 32 and 34 in complete agreement with the experimental results.

It remains to explain the results under kinetically controlled conditions. Under such conditions, the reaction products are independent of the relative stability of the various spiroacetal isomers, but rather depend upon the relative energy of the transition states leading to the formation of the various spiroacetal isomers.

The formation of 30 and 31 in a 1:1 ratio by the kinetic cyclization of hydroxy-enol ether 26 will first be examined. It is reasonable to assume that 26 will be protonated (ref. 7) to give an oxocarbonium ion (Scheme 8) which can exist in two rapidly equilibrating conformations 37a and 37b. This

would then be followed by a stereoelectronically controlled reaction assuming an antiperiplanar attack (ref. 2). There are four possibilities, considering reactions on each face of the oxocarbonium ring leading either to a chair-like or a twist-boat-like transition state. Thus, an  $\alpha$ -attack on conformation 37a (40  $\rightarrow$  41) and a  $\beta$ -attack on conformation 37b (42  $\rightarrow$  43) lead to chair-like transition states while a  $\beta$ -attack on 37a (38  $\rightarrow$  39) and an  $\alpha$ -attack on 37b (44  $\rightarrow$  45) leads to twist-boat transition states. If it is assumed that transition states are late, resembling the protonated spiroacetals, the sterically disfavored twist-boats (39 and 45) are eliminated and it appears possible to understand the 1:1 ratio of 30 and 31 from the chair-like transition states. Indeed, stereoelectronic effects are equivalent and steric effects are relatively similar in 40  $\rightarrow$  41 and 42  $\rightarrow$  43, especially if it is considered that the formation of the C—O bond is not yet completed at the transition state. If it is assumed however that the transition state is very early, resembling 38, 40, 42, and 44, steric effects appear to be close in all cases and the 1:1 ratio of isomers could be explained on that basis as well. Thus, the experimental results described so far cannot distinguish between an early or a late transition state.

Kinetic cyclization of hydroxy-enol ether 27 giving a 3:2 ratio of spiroacetals 30 and 31 will now be examined. Protonation of 27 will produce an oxocarbonium ion which can have conformation 46a or 46b (Scheme 9) where the former having a pseudo equatorial methyl group is more stable than the latter. In this case, there are again 4 possible modes of cyclization, since there are two chair-like (49  $\rightarrow$  50 and 51  $\rightarrow$  52) and two twist-boat-like (47  $\rightarrow$  48 and 53  $\rightarrow$  54) transition states.

We will consider first the two processes having a chair-like transition state. The first one (49  $\rightarrow$  50) should produce (after loss of a proton) the more stable spiroacetal 30 whereas the second one (51  $\rightarrow$  52) should give (after loss of a proton and conformational inversion of both rings) the less stable spiroacetal 31. The first process (49  $\rightarrow$  50) is essentially devoid of severe steric interactions but the second one (51  $\rightarrow$  52) is severely hindered since the methyl group in 52 is in a 1,3-diaxial disposition relative to the protonated oxygen.

The two pathways which involve an antiperiplanar attack leading to a twist-boat intermediate are now considered. The first one is the result of a  $\beta$ -attack on 46a (i.e. 47  $\rightarrow$  48) while the second one comes from an  $\alpha$ -attack on 46b (i.e. 53  $\rightarrow$  54). These two pathways are stereoelectronically equivalent (they are mirror images) except for the fact that the methyl group is in a pseudo equatorial orientation in the process 47  $\rightarrow$  48 and in a pseudo axial orientation in the process 53  $\rightarrow$  54.

If the possibility of a late transition state is first considered for this cyclization, it becomes impossible to rationalize the rather close ratio of 3:2 in favor of spiroacetal 30. The two twist-boat like transition states (48 and 54) are readily eliminated as well as the chair-like transition state 52 which experience a severe 1,3-diaxial steric interaction by comparison with 50 which is essentially sterically free. Indeed, on that basis, only spiroacetal 30 should have been produced (via  $49 \rightarrow 50$ ) under kinetically controlled conditions and this possibility is thus eliminated.

The possibility of an early transition state must next be considered. The two pathways involving the oxocarbonium ion 46b could be disfavored because this ion is sterically less favored than ion 46a. Furthermore, the pathways  $51 \rightarrow 52$  must experience some steric hindrance between the pseudoaxial methyl group and the incoming OH group. On the other hand, both processes taking place on 46a are relatively sterically free. It is therefore possible that the cyclization would take place only via 46a where the chair-like process  $49 \rightarrow 50$  would be very slightly favored over the twist-boat process  $47 \rightarrow 48$  because of the very early nature of the transition state.

Examination of the results obtained with bicyclic hydroxy-enol ether 29 confirms this conclusion. Protonation of 29 produces oxocarbonium ion 55 (Scheme 10) which has a conformation (55a) essentially identical to that of 46a (or 37a) but with the difference that ring inversion is no more possible due to the *trans*-junction of the bicyclic skeleton. There are therefore only two modes of attack which respect the antiperiplanar hypothesis, the first one takes place via a twist-boat process ( $56 \rightarrow 57$ ) to give the less stable spiroacetal 36, whereas the second one occurs via a chair-like process ( $58 \rightarrow 59$ ) to give after deprotonation the more stable spiroacetal 35.

Again, a late transition state cannot explain the experimental results since a geometry close to 57 is energetically too high by comparison with 59. On the other hand, an early transition state can explain the fact that 56 (beginning of a twist-boat) should be slightly higher in energy than 58. Since the 3:2 ratio is the same for the kinetic cyclization of hydroxy-enol ethers 27 and 29, it can be concluded that the cyclization of oxocarbonium 46 probably takes place only from the ion 46a (via the pathways  $47 \rightarrow 48 \rightarrow 31$  and  $49 \rightarrow 50 \rightarrow 30$  in a 2:3 ratio). In the case of hydroxy-enol ether 26 which produce an

oxocarbonium ion 37 which can exist in two energetically equivalent conformations (37a and 37b), it can be concluded, that the four modes of cyclization are possible, with a slight preference for the chair-like processes ( $40 \rightarrow 41 \rightarrow 30$  and  $42 \rightarrow 43 \rightarrow 31$ ) over the twist-boat like processes ( $38 \rightarrow 39 \rightarrow 31$  and  $44 \rightarrow 45 \rightarrow 30$ ) yielding a 1:1 ratio of spiroacetals 30 and 31.

It remains to examine the cyclization of the diastereoisomeric mixture of hydroxy-enol ether 28 which gave a 3:2:5 ratio of spiroacetals 32, 33, and 34. We will first discuss the formation of racemic spiroacetals 32 and 33 which come from the cyclization of the racemic SS and RR diastereoisomers of hydroxy-enol ether 28. The formation of 32 and 33 in a 3:2 ratio is now easily explained. Taking the SS enantiomer of 28 as an example, upon protonation, it will give an oxocarbonium ion (equivalent to 46a) which can cyclize either via a twist-boat mode or a chair-like mode where the latter would predominate over the former in a 3:2 ratio via an early transition state.

The amount of racemic spiroacetal 34 in both the kinetic and thermodynamic mixtures can be easily rationalized from the fact that in this case only one such racemic product might be obtained from the cyclization of racemic diastereoisomer RS/SR 28. Indeed, taking the SR isomer of 28 as an example, cyclization on one face of the ring yields the enantiomer corresponding to 34 while the cyclization on the other side provides the enantiomeric form of 34. In the light of the preceding discussion, one enantiomer of 34 must be formed preferentially over the other. Of course, a racemic mixture of 34 is finally obtained because the starting hydroxy-enol ether 28 was racemic (SR and RS mixture).

In conclusion, the spiro acetalization of the four enol ethers 26-30 under kinetically controlled conditions can be explained on the basis of the antiperiplanar hypothesis while postulating an early transition state, with the early chair-like being slightly favored over the early boat-like transition state. Indeed, it explains the 1:1 ratio of spiroacetals 30 and 31 from enol ether 26. It also provides a simple explanation for the same 3:2 ratio obtained from the spiro acetalization of the other three enol ethers 27, 28, and 29.

It is now pertinent to reanalyze the previously reported mild acid cyclization of bicyclic hydroxypropyl acetal 10 (ref. 5) (Scheme 11). At room temperature, 10 gives only the cis tricyclic acetal 13 upon acid treatment (PTSA-MeOH). An equilibrium mixture (45:55 ratio) of cis and trans acetals 13 and 15 was obtained after a reflux under the same conditions. The kinetically controlled formation of 13 was explained in the following way. Upon acid treatment, it was assumed that 10 gave first the oxocarbonium ion 60 which can undergo a stereoelectronically controlled cyclization to give either the cis acetal 13 via a chair-like pathway (61  $\rightarrow$  62) or the trans acetal 15 via a twist-boat pathway (63  $\rightarrow$  64). Since, the formation of the cis acetal was exclusive, it was believed (ref. 5) that the transition state must be late resembling 62 rather than the less stable 64. Nevertheless, in the light of the preceding results and other experimental evidence (refs 14-15), it is unlikely that the exclusive formation of cis acetal 13 comes from a late transition state. Interestingly, it is relatively easy however to understand the exclusive formation of cis acetal 13 via an early transition state provided that there is a proper alignment of the incoming hydroxyl group with the  $\pi$ -orbital of the oxocarbonium ion. This stereoelectronic parameter corresponds approximately to the Bürgi-Dunitz angle of attack of a nucleophile on a  $\pi$ system (16). This alignment is readily achieved in 61 but not in 63. Modeling studies on oxocarbonium species 60 also support this argument. Indeed a conformational analysis (30° steps) on all exocyclic bonds of MINDO-3 minimized 60 shows that the vast majority of the 1103 allowed conformers has the hydroxyl above the plane of the oxocarbonium ion (like in 61) rather than underneath that plane (like in 63). The distance from the hydroxyl oxygen to the oxocarbonium carbon covers a range between 2.63 to 6.33 Å (0.1 Å grid). An examination of those conformers having shortest distances should give an indication about the easiest path of approach between the two centers (hydroxyl oxygen and oxocarbonium

carbon). Table 1 lists salient parameters for representative lowest energy conformers of both types (e.g. 61-like and 63-like), together with their heat of formation obtained from MINDO-3 semi-empirical calculations. It is easily observed that the shortest O····C=O distance (2.65 Å) is obtained for a 61-like conformer (entry 1, O····C=O angle = 106°, heat of formation = 50 kcal/mol). The lowest energy 61-like conformer (entry 4) has an O····C=O distance of 2.85 Å, and an O····C=O angle of 111°. Shortest O····C=O distances in 63-like conformers are obtained at 2.75Å and 2.95 Å. However in this case heats of formation are about 3 to 4 kcal/mol higher than in the corresponding 61-like conformers (compare entries 2 and 6, 5 and 7). The lowest energy conformer (entry 10) has an O····C=O distance of 3.35 Å.

**TABLE 1.** Representative **61**-like and **63**-like conformers

		O•••C=O Distance (Å)	O•••C=O Angle (deg.)	Heat of formation (kcal/mol)
61-like	1	2.65	106	50
	2	2.75	103	51
	3	2.85	101	51
	4	2.85	111	49
	5	2.95	101	51
63-like	6	2.75	142	54
	7	2.95	144	55
	8	3.15	152	50
	ğ	3.25	148	50
	10	3.35	146	48

Another point of interest is the fact that whereas O•••C=O angles of 101-111° may be readily achieved in 61-like conformers, this is not the case for 63-like conformers where such angles are bigger than 142°. Therefore since 61-like conformers have shortest O•••C=O distances, lower energies and Bürgi-Dunitz O•••C=O angles, this approach path of the nucleophile (OH) should be preferred over the one starting from a 63-like conformers. In conclusion, an early transition state situation readily explains the exclusive formation of *cis* acetal 13.

We will now examine the two alternative mechanisms proposed respectively by Fraser-Reid and Sinnott. Taking the bicyclic hydroxyacetal 10 as an example, the synperiplanar hypothesis suggested by Fraser-Reid means that the cyclization of 60 could take place via a  $\beta$ -attack leading to a half-chair (65  $\rightarrow$  66) in order to give cis acetal 13 or via an  $\alpha$ -attack leading to a half-chair (67  $\rightarrow$  68) before giving trans acetal 15. Now, if these reactions take place via a very early transition state, it can be seen that 61 and 65 on one hand and 63 and 67 on the other hand are respectively virtually identical! Kinetically, they are equivalent and there is no need to discuss further the anti versus the syn mechanisms on that basis. Consequently, it should be noted that the experimental results on acetal cleavages reported by Fraser-Reid (ref. 9) cannot be considered as evidence in favor of the synperiplanar hypothesis because there is no reason to believe that these processes do not take place via a late transition state. On that basis, and as previously discussed in this article, these results are equally well explained by the antiperiplanar hypothesis.

The structure of the transition state for the spontaneous hydrolysis of axial tetrahydropyranyl acetals has been estimated from experimental structural and kinetic data by Bürgi and Dubler-Steudle (ref. 17). This analysis indicates a late transition state. It follows from this work that the transition state for the proton catalyzed cleavage must also be late and therefore, it must be early for the reverse process which is consistent with the results given in the present work. Very recently, Andrews, Fraser-Reid and Bowen (ref. 18) have carried out an "ab initio" study of transition states in glycoside hydrolysis based on axial and equatorial 2-methoxytetrahydropyrans. This theoretical work also indicates that acetal hydrolysis takes place via a late transition state. Indeed, for the protonated axial anomer ( $\alpha$ -glycoside), cleavage occurs via a half-chair transition state which gives a half-chair oxocarbonium ion. In the case of the protonated equatorial anomer ( $\beta$ -glycoside), cleavage takes place via an <sup>4</sup>E *endo* sofa transition state and hence via oxocarbonium ion having the same geometry. It should be pointed out that the half-chair and the sofa oxocarbonium ions have a very close geometry and similar energy, the half-chair being slightly more stable (0.15 kcal/mol).

The next question which can be asked however, concerns what happens just after the transition state; which pathway is preferred? Is the chair pathway  $61 \rightarrow 62$  preferred over the half-chair  $65 \rightarrow 66$  in the formation of *cis* acetal 13? Similarly, is the *trans* acetal 15 more easily produced via the twist-boat  $63 \rightarrow 64$  than the half-chair  $67 \rightarrow 68$ ? These questions are important, especially when the reverse process which takes place via a late transition state is considered. Indeed, in the reverse process, it becomes pertinent to know precisely which conformational change occurs in compounds 13 and 15 prior to the cleavage step.

The antiperiplanar hypothesis has received support both theoretically (refs 19-21) and experimentally (refs 2-5, 8) which indicates that electronically, it is a lower energy pathway than the synperiplanar one. Consequently, it appears safe to conclude that the antiperiplanar process is normally favored over the synperiplanar, unless unusual steric effects would prevent the former over the latter. On that basis, the chair process  $61 \rightarrow 62$  would be preferred over the half-chair  $65 \rightarrow 66$  based on steric and electronic reasons. For similar reasons, the process  $63 \rightarrow 64$  should also be preferred over  $67 \rightarrow 68$ .

Sinnott's opposition to the stereoelectronic theory and his attempt to rationalize the reactivity of acetals on the basis of the principle of least motion will now be examined. His conclusions were reached on the following basis. In pyridinium glycosides and due to a phenomenon called the reverse anomeric effect (ref. 22), the  $\alpha$ -isomer is known to exist in the unusual twist-boat conformation 73b (Scheme 12) rather than the usually more stable chair conformation 73a, whereas the  $\beta$ -isomer remains in the usual chair conformation 74a. It was then postulated that the  $\alpha$  and  $\beta$ -pyridinium glycosides undergo hydrolysis directly from their ground state conformations 73b and 74a, respectively to yield the corresponding oxocarbonium ion 75 by simply following the principle of least motion (ref. 23) while completely neglecting the importance of stereoelectronic effects (or orbital overlap) during these processes. On that basis, Sinnott concluded that the acid hydrolysis of  $\alpha$  and  $\beta$ -glycosides follows similar pathways because on protonation of the OR side chain, the  $\beta$ -glycosides would remain in the chair conformation 77a whereas the  $\alpha$ -glycosides would change from the chair 76a to the twist-boat 76b. Then, the formation of the oxocarbonium ion 75 would come directly from 76b and 77a following the principle of least motion.

This hypothesis, based on the principle of least nuclear motion, provides no driving force for the cleavage to take place, and we are convinced that it is false on the following basis. Keeping the bicyclic hydroxyacetal 10 as an example, the pathway proposed by Sinnott predicts that 10 would form cis acetal 13 via the twist-boat process  $69 \rightarrow 70$  (Scheme 13), whereas trans acetal 15 would be formed from the chair pathway  $71 \rightarrow 72$ . Following this hypothesis, the formation of tricyclic trans acetal 15 from the oxocarbonium ion 60 should take place with equal ease as the cis acetal 13 under kinetically controlled conditions, and hence the specific formation of cis acetal 13 cannot be explained.

Furthermore, ab initio calculations have been carried out recently (refs 20-21) on protonated species  $H_nX$  —  $CH_2$  —  $Y^+H_n$  (X and Y = O and/or N). It was found that when a lone pair of X is antiperiplanar to the C —  $Y^+$  bond, the C — X bond shortens and the C — Y bond becomes much longer. In some cases, the tetrahedral species switch to a  $\pi$ -complex ( $H_nX^+$ = $CH_2^{\bullet\bullet\bullet}YH_n$ ). On the other hand, when one lone pair of X is gauche to the C —  $Y^+$  bond, the C —  $Y^+$  bond does not become longer. These calculations revealed also that tetrahedral intermediates having no antiperiplanar lone pairs

### Scheme 13 PRINCIPLE OF LEAST MOTION HYPOTHESIS ОН 69 13 70 60 71 72

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are energetically quite stable species, indicating that the reverse anomeric effect is a stabilizing electronic effect. Furthermore, these calculations strongly suggest that the reverse anomeric effect is the result of an electrostatic attraction between the electron lone pairs of atom X and the positive charge of atom Y. Thus, in α-pyridinium glycosides, the twist-boat 73b (Scheme 12) is more stable than the chair conformation 73a because the N<sup>+</sup> is gauche to the two lone pairs of the ring oxygen. In the case of the  $\beta$ -isomer, it stays in the same chair conformation 74a upon protonation, because the N+ is already gauche to the two lone pairs of the ring oxygen.

On that basis, one can postulate that although \beta-pyridinium glycosides exist in the ground state chair conformation 74a, they will then undergo a conformational change to the twist-boat 74b before reaching the transition state which will produce the oxocarbonium ion 75. On the other hand,  $\alpha$ pyridinium glycosides which exist in the ground state twist-boat conformation 73b will undergo a conformational change to the chair conformation 73a before reaching the transition state which will eventually produce the cyclic oxocarbonium ion 75.  $\alpha$  and  $\beta$ -Glycosides would behave similarly. Upon protonation of the OR group, β-glycosides would remain in the chair conformation 77a, but would have to undergo a conformational change to the twist-boat 77b prior to reaching the transition state required for the formation of the oxocarbonium ion 75. On the other hand, upon protonation, the α-glycoside would undergo a conformational change from the chair 76a to the now more stable twist-boat 76b. However, 76b cannot undergo a cleavage with stereoelectronic control, and would therefore undergo a conformational change back to the chair 76a in order to eventually reach the transition state leading to the oxocarbonium ion 75.

The overall reaction coordinates and conformational changes occurring in the hydrolysis of glycosides are summarized in Scheme 14. Upon protonation a β-glycoside would remain in the chair conformation further stabilized by the reverse anomeric effect. Protonation of an α-glycoside would produce the protonated  $\alpha$ -chair which can readily form a  $\pi$ -complex for stereoelectronic reasons. However, the protonated  $\alpha$ -chair form can avoid the formation of the  $\pi$ -complex by its conversion into the protonated α-twist-boat which is stabilized by the reverse anomeric effect. The protonated α-twistboat is however much less stable than the protonated β-chair due to their different conformation (boat versus chair, ~3-5 kcal/mol), both species having identical stereoelectronic effects.

Cleavage would thus start from the protonated  $\alpha$ -twist-boat and from the protonated  $\beta$ -chair respectively. In the  $\alpha$ -series, the protonated  $\alpha$ -twist-boat would first undrgo a conformational change back to the α-chair which is stereoelectronically allowed to reach the transition state for cleavage. The transition state would be late having a geometry corresponding to the beginning of a chair form to finally

Scheme 14 Reaction coordinate and conformational change in hydrolysis of  $\alpha$  and  $\beta$ -methoxy tetrahydropyrans

produce the half chair cyclic oxocarbonium ion. In the  $\beta$ -series, the protonated  $\beta$ -chair would first undergo a conformational change to the  $\beta$ -twist-boat (a  $\pi$ -complex!) which is stereoelectronically allowed to reach the corresponding transition state. In this case, the transition state would correspond to the beginning of a twist-boat which would lead to the reaction product, the half-chair cyclic oxocarbonium ion.

The relative rate of hydrolysis of O-alkyl  $\alpha$  and  $\beta$ -glycosides are explained by the difference in energy between their ground state conformation and their respective transition state. The axial and equatorial bicyclic acetals 16 and 17 can be taken as models for  $\alpha$  and  $\beta$ -glycosides. The  $\alpha$ -anomer 16 is more stable than the  $\beta$ -anomer 17 by 0.45 kcal/mol, on the other hand, 16 is hydrolysed faster by a factor of 1.5 (~0.25 kcal/mol) (ref. 6), the difference in energy between the two transition states should be approximately 0.7 kcal/mol (Note d). The beginning of a chair is at a lower energy level than the beginning of a twist-boat, it is therefore normal that the  $\alpha$  is lower than the  $\beta$ -transition state. Then, when the difference in energy between the  $\alpha$ - and  $\beta$ -ground state conformations are taken into account, the relative rate of hydrolysis ( $\alpha$  faster than  $\beta$  by 1.5) is readily understood.

d Andrews, Fraser-Reid and Bowen have obtained a value of the same order  $(\Delta E_{T.S.}(\beta-\alpha) = 1.05 \text{ kcal/mol})$  in their 6-31G *ab initio* studies (ref. 18).

 $\alpha$  and  $\beta$ -Pyridinium glycoside ground state conformations correspond respectively to the protonated  $\alpha$ -twist-boat and  $\beta$ -chair of O-alkyl glycosides, their relative rate of hydrolysis should therefore be quite different than those observed for  $\alpha$  and  $\beta$ -O-alkyl glycosides. As previously mentioned, the protonated  $\alpha$ -twist-boat is at a much higher energy level than the protonated  $\beta$ -chair for steric reasons, it should be the same for the  $\alpha$  and  $\beta$ -pyridinium glycosides. On the other hand, the relative energy of the  $\alpha$  and  $\beta$ -transition states should be relatively similar to those of O-alkyl glycosides. On that basis,  $\alpha$ -pyridinium isomers are expected to hydrolyze at a faster rate. This is indeed the case,  $\alpha$ -pyridinium glucoside is hydrolyzed 80 times more rapidly than the  $\beta$ -anomer (ref. 24).

Thus, as previously pointed out by Kirby (ref. 4b), even if an antiperiplanar lone pair requirement is necessary for cleavage, it is not essential that it must be satisfied in the ground state conformation as long as some accessible appropriate conformations are available. The only criteria is simply that the conformational barrier involved should be smaller (usually the case) than the activation energy required for the cleavage reaction. Thus, the kinetic data for the hydrolysis of glycosides cannot be used against a rationalization based on stereoelectronic principles.

There are other well known organic reactions which are believed to proceed via a conformational change prior to cleavage in order to undergo stereoelectronically controlled processes. A very well known case is the reductive elimination of *trans* 1,2-dibromocyclohexane. This compound exists in the diequatorial conformation in the ground state, but it must undergo a conformational change to the less stable diaxial orientation in order to produce cyclohexene. Note that the formation of cyclohexene does not occur directly from the diequatorial conformer although this would follow the principle of least motion! Similarly, in the reverse process, the addition of bromine to cyclohexene will produce first *trans* 1,2-dibromocyclohexane in the diaxial conformation.

Finally, it is well known that reactions at the anomeric center in  $\alpha$  and  $\beta$ -glycosides proceed in some cases with retention and in others with inversion of configuration. These reactions are explained on the basis of an SN<sub>1</sub> and an SN<sub>2</sub> process respectively. When the displacement reaction takes place via an SN<sub>1</sub> mechanism, it is definetely a process with a very late transition state, near the oxocarbonium ion which is a discrete species in these conditions (cf. 78 and 79 in Scheme 15). However, the results described on spiroacetal formation indicate that the geometry of the early transition state which corresponds to the beginning of a chair would be slightly preferred over that of a boat form. When the process takes place via an SN<sub>2</sub> mechanism, it is again a late transition state operation, but in this case, the attacking species is nucleophilic enough to start reacting before the leaving group is completely ejected (SN<sub>1</sub> mechanism). Recent studies by Banait and Jencks (ref. 25) on the reactivity of  $\alpha$ -D-glucopyranosyl fluoride are in complete accord with this conclusion. The SN<sub>2</sub> displacement reaction must therefore have a geometry at the transition state where the C<sub>1</sub> and O<sub>5</sub> atom of the glycosides must be sp<sup>2</sup> hybridized (cf.

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80). So, these processes are also controlled stereoelectronically at the transition state level (ref. 26). In other words, the theory of stereoelectronic control (ref. 2) does not represent "an interpretation over of small and elusive least motion effects" (ref. 10), but it predicts the stereochemistry of the overall process including the transition state, although, it cannot pinpoint the position of the transition state along the reaction coordinate. The position of the transition state will of course vary depending on the nature of the substrate and the reaction conditions (nucleophile, catalyst, etc). For instance, a dioxocarbonium ion like 81 (Scheme 16) is more stable, thus less reactive than the corresponding oxocarbonium ion 75. The hydration of 81 should therefore take place via a more advanced transition state along the reaction coordinates than that of 75. Indeed, the transition states should now be closer to the tetrahedral intermediate, and the  $\alpha$ -attack leading to a chair-like (81  $\rightarrow$  82) should be preferred to that of a boat-like (81  $\rightarrow$  83) transition state. Thus, the previous rationalization of the hydrolysis of cyclic orthoesters remains essentially as published in 1985 (refs 27-28) except for the fact that the position of the transition state is not as near the tetrahedral intermediate as previously anticipated (refs 2-3).

#### Scheme 16

In conclusion, the rate of hydrolysis of  $\alpha$  and  $\beta$ -glycosides are explained while assuming a late transition state following the antiperiplanar hypothesis. The geometry of transition states for  $\alpha$  and  $\beta$ -glycosides corresponds to the end of a chair and of a twist-boat respectively in order to produce the half-chair cyclic oxocarbonium ion.

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